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**Escuela de Ciencias Biológicas e Ingeniería**

**TÍTULO: Diversity and Evolutionary Dynamics of Spore Coat  
Proteins on Spore-forming Species of Bacillales**

Trabajo de integración curricular presentado como requisito para la  
obtención del título de Biólogo

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## Resumen

Entre los miembros del orden de Bacillales, hay varias especies capaces de formar una estructura llamada endospora. Las endosporas permiten que las bacterias sobrevivan bajo condiciones de crecimiento desfavorables. Además, las endosporas promueven la germinación cuando las condiciones ambientales son favorables. Varias proteínas necesarias para el ensamblaje de la capa, la síntesis de la corteza y la germinación se conocen colectivamente como proteínas de la capa de esporas. Este proyecto tiene como objetivo determinar la diversidad y los procesos evolutivos de los genes de la capa de esporas en varias especies de Bacillales formadoras de esporas. Los métodos de BLASTp, Clustering y KEGG Orthology se han utilizado para determinar la existencia y diversidad de genes de la capa en ciento 141 genomas de especies formadoras de esporas. Además, las fuerzas de selección que actúan sobre los genes de la capa de esporas se han estimado utilizando los métodos Tajima's D,  $dN / dS$ , MEME y BUSTED. Por último, los genes de la capa de la espora sometidos a eventos de transferencia horizontal de genes (HGT) han sido identificados por la reconciliación filogenética entre árboles filogenéticos de especies y genes. Además, los resultados de HGT se han confirmado escaneando los genomas para encontrar rastros de secuencias de inserción, utilizando la base de datos ICEberg. Los resultados sugieren que los genes mejor conservados entre las diferentes especies son aquellos con los roles más importantes en el ensamblaje de la capa y permiten la adaptación específica a un nicho. Del mismo modo, los resultados de HGT confirman que la esporulación es una característica ancestral en *Bacillus*.

**Palabras clave:** proteínas de la capa de espora, Bacillales, *Bacillus*, proteínas morfogenéticas de la capa, selección positiva / negativa, transferencia horizontal de genes.



## Abstract

Among members of the Bacillales order, there are several species capable of forming a structure called endospore. Endospores enable bacteria to survive under unfavorable growth conditions. Moreover, endospores promote germination when environmental conditions are favorable again. Several proteins necessary for coat assembly, cortex synthesis, and germination are collectively known as spore coat proteins. This project aims to determine the diversity and evolutionary processes of spore coat genes on various spore-forming species of Bacillales. The methods of BLASTp, Clustering, and KEGG Orthology have been used to determine the existence and diversity of coat genes across one hundred forty-one genomes of spore-forming species. Furthermore, selection pressures that act over spore coat genes have been estimated using the methods of Tajima's D, dN/dS ratio, MEME, and BUSTED. Finally, spore coat genes subjected to horizontal gene transfer (HGT) events have been identified by phylogenetic reconciliation between gene-species phylogenetic trees. Additionally, HGT results have been further confirmed by scanning the genomes to find traces of insertion sequences, using the ICEberg database. The results suggest that the best-conserved genes among different species are those with the most important roles in coat assembly and enable niche-specific adaptation. Likewise, HGT results confirm that sporulation is an ancestral feature in *Bacillus*.

### Key Words:

Spore coat proteins, Bacillales, *Bacillus*, morphogenetic coat proteins, positive/negative selection, horizontal gene transfer.

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## ABBREVIATIONS

HGT	Horizontal Gene Transfer
MEME	Mixed Effects Model of Evolution
BUSTED	Branch-Site Unrestricted Statistical Test for Episodic Diversification
dN	Non-synonymous mutations
dS	Synonymous mutations
GEIs	Genomic Islands



## CHAPTER 1. INTRODUCTION

### 1.1 *Bacillales* and the spore

The Bacillales order has a great taxonomic and phylogenetic diversity and can thrive in all environments (Maayer, Aliyu, & Cowan, 2019). Some spore formers contribute to the human and mammal gut sporobiota (Paul et al., 2019; Suitso et al., 2010), while others are pathogens that cause foodborne diseases (Wells-Bennik et al., 2016) or important human pathogens (Barák, Ricca, & Cutting, 2005; Kotiranta, Lounatmaa, & Haapasalo, 2000; Mock & Fouet, 2001; Stenfors Arnesen, Fagerlund, & Granum, 2008). A striking feature of members of this order is their ability to form an extremely resistant cell type, the spore (Driks & Eichenberger, 2016; Maayer et al., 2019; Qin & Driks, 2013; Setlow, 2014b). The spore can survive a wide range of extreme environmental conditions, such as microbial predation, desiccation, heat, UV radiation, and toxic chemicals (Beladjal, Gheysens, Clegg, Amar, & Mertens, 2018; Driks & Eichenberger, 2016; Klobutcher, Ragkousi, & Setlow, 2006; Nicholson, Munakata, Horneck, Melosh, & Setlow, 2000; Setlow, 2014b). The spore is metabolically dormant and can remain in this state for hundreds of years (Qin & Driks, 2013; Setlow, Wang, & Li, 2017). In addition, the spore can sense its surrounding environment. When growth conditions are favorable again, they germinate to generate a vegetative form of bacteria (Moir & Cooper, 2015; Qin & Driks, 2013; Setlow, 2014a; Setlow et al., 2017).

### 1.2 Sporulation

To survive under such stress conditions, the bacterial cell undergoes an evolutionarily conserved process called sporulation to produce the spore (McKenney, Driks, & Eichenberger, 2013). *Bacillus subtilis* has been the most studied organism for this process (Abecasis et al., 2013; McKenney et al., 2013; Qin & Driks, 2013). Nevertheless, most processes of sporulation appear to be highly similar among all spore-forming species (Onyenwoke, Brill, Farahi,

& Wiegel, 2004). Studies from laboratories report that sporulation begins in the stationary phase when nutrients start to scarce (McKenney et al., 2013). Histidine sensor kinases (KinA, KinB, and KinC) trigger sporulation by phosphorylation of the master regulator of sporulation, Spo0A (McKenney et al., 2013). Phosphorylated Spo0A exert control over a large group of genes involved in asymmetric cell division and activation of the sporulation-specific sigma factors (McKenney et al., 2013; Molle et al., 2003). The sporulating cell undergoes an asymmetric cell division that produces a sporangium, which contains the larger mother cell and the smaller forespore (the future spore) (Driks & Eichenberger, 2016; McKenney et al., 2013). After that, the mother cell engulfs the forespore in a process similar to phagocytosis (Driks & Eichenberger, 2016; McKenney et al., 2013). After the engulfment, the forespore becomes a double membrane-bound cell within the mother cell (Driks & Eichenberger, 2016; McKenney et al., 2013). Coat assembly begins after the initiation of engulfment and continues through sporulation (Driks & Eichenberger, 2016; McKenney et al., 2013). The forespore then is composed of two external protective structures: the cortex, that is assembled between the inner and outer forespore membranes, and the proteinaceous coat (Henriques & Moran, 2007; McKenney et al., 2013). In the spore, the genome is contained in the partially dehydrated core (McKenney et al., 2013). In the final step, the mother cell releases the partially mature spore by lysis (McKenney et al., 2013). Maturation of the spore continues, specifically cross-linking of coat proteins, after the lysis of the cell mother (Abhyankar et al., 2015; Driks & Eichenberger, 2016; Ragkousi & Setlow, 2004).

### 1.3 Spore-related applications

Spores have several applications mostly based on different surface spore coat properties (Barák et al., 2005; McKenney et al., 2013). For example, spore-based therapies are used as oral vaccines to deliver probiotics to mucosal surfaces. These therapies exploit different surface properties to display heterologous antigens (Barák et al., 2005; Cutting, Hong, Baccigalupi, & Ricca, 2009; McKenney et al., 2013; Paul et al., 2019). Similarly, the surface properties can be used for the delivery of therapeutic agents, to produce biocatalysts, and biosorbents (McKenney

et al., 2013; Paul et al., 2019; Wu, Mulchandani, & Chen, 2008). Several *Bacillus* species are known as plant growth-promoting rhizobacteria, which are able to promote plant growth by either competing with plant pathogens or enhancing plant-microbe symbioses (Bhattacharyya & Jha, 2012; Glick, 2012; Paul et al., 2019).

#### 1.4 Spore coat structure

There is a high diversity in spore coat morphology among spore-forming species (Driks & Eichenberger, 2016; McKenney et al., 2013). The spore coat structure has been extensively studied in *B. subtilis* by transmission electron microscopy (TEM) (Driks & Eichenberger, 2016). The innermost layer of the spore coat is called basement layer (Driks & Eichenberger, 2016). The basement layer contains spore coat proteins necessary to initiate coat assembly, SpoIVA, SpoVM, SpoVID (Driks & Eichenberger, 2016; McKenney et al., 2013). Then, the inner layer is located at the top of the basement layer (Driks & Eichenberger, 2016). The outer coat surrounds the inner coat, whereas the crust surrounds the outer (Driks & Eichenberger, 2016). Figure 1.1 shows the four layers (basement layer, inner layer, outer layer, crust) that compose the spore coat. Other spore-forming species, such as *Bacillus anthracis*, *Bacillus thuringiensis*, and *Bacillus cereus* also possess an exosporium (Driks & Eichenberger, 2016; McKenney et al., 2013; Qin & Driks, 2013; Waller, Fox, Fox, Fox, & Price, 2004). The exosporium is the outermost layer that surrounds the mature spore (Bozue, Welkos, & Cote, 2015). It is composed of fine hair-like projections that may be involved in infection for *B. anthracis* (Bozue et al., 2015).

#### 1.5 Spore coat functions

The spore coat has various functions ranging from protection to interaction with the environment (Driks & Eichenberger, 2016). However, the exact mechanisms for resistance properties have not been elucidated completely (Driks & Eichenberger, 2016; Setlow, 2014b). Spore architecture has been described to influence the resistance of spores to extreme environmental conditions (McKenney et al., 2013). For example, the spore coat has a key role as

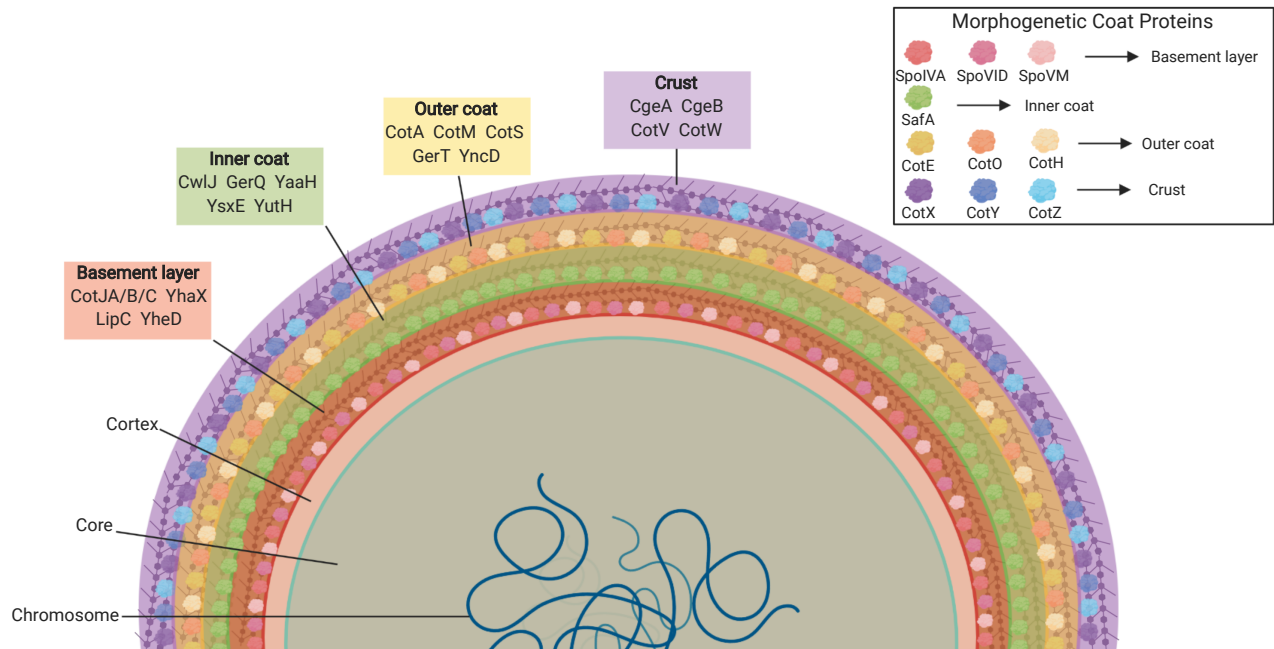


Figure 1.1. Model of spore coat structure. Assembly of each layer depend on the multimerization of a morphogenetic coat protein and its dependent individual coat proteins. Four layers with its morphogenetic and morphogenetic-dependent coat proteins are shown: basement layer (red), inner layer (green), outer layer (yellow), and crust (purple). Modified from McKenney et al. (2013).

a barrier in predation from bacteriovores, excluding degradative enzymes and toxic molecules (Driks & Eichenberger, 2016; Klobutcher et al., 2006; McKenney et al., 2013; Setlow, 2014b). However, since germination requires the passage of germinants (small molecules necessary for germination) through the coat, it has been suggested that the coat is not impermeable but rather porous (Driks & Eichenberger, 2016; Moir & Cooper, 2015). This porosity allows germinants, such as sugars, amino acids, peptidoglycan fragments, and ions to reach their receptors in the inner spore membrane, by passing through the spore coat (Driks & Eichenberger, 2016; McKenney et al., 2013; Setlow, 2014a).

Spores can resist extreme heat and desiccation by partial dehydration of the spore core, functional cortex, RecA-dependent DNA repair machinery, and various small acid-soluble proteins that bind to the spore core (McKenney et al., 2013; Setlow, 2007). Low water content

in the dormant spore core leads to reduced molecular mobility, which in turn confers elevated protein resistance to thermal inactivation (Setlow, 2014b).

### 1.6 Spore coat assembly and genetic interaction network

Spore coat synthesis, assembly, and maturation is a complex multiprotein process that requires several hours to be complete (Driks & Eichenberger, 2016). Assembly of coat layers depends on morphogenetic coat proteins, such as SpoIVA, SpoVM, SpoVID, CotE, CotH, CotO, CotX, CotY, CotZ, SafA, and coat proteins dependent on these morphogenetic proteins (Driks & Eichenberger, 2016; McKenney et al., 2013). SpoIVA and SpoVM are responsible for spore cortex formation, coat assembly, anchoring of the coat to the spore surface, and spore encasement, whereas, SpoVID is responsible for spore encasement (Driks & Eichenberger, 2016; McKenney et al., 2013; McKenney & Eichenberger, 2012). CotE is the most critical protein responsible for the assembly of the outer coat, and SafA is responsible for the assembly of the inner coat (Bauer, Little, Stöver, & Driks, 1999; Driks & Eichenberger, 2016; McKenney et al., 2013; Ozin, Henriques, Yi, & Moran, 2000; Zilhão et al., 1999). Several studies demonstrated the existence of a network of genetic interactions that consist of three independent modules: SpoIVA-dependent subnetwork, CotE-dependent subnetwork, and SafA-dependent subnetwork (Driks & Eichenberger, 2016; Krajčiková, Forgáč, Szabo, & Barák, 2017; McKenney et al., 2013, 2010), as shown in Figure 1.2

### 1.7 Problem statement

The spore coat structure has been extensively studied in *B. subtilis*, *B. anthracis*, and other model organisms by classical methods, such as TEM (Driks & Eichenberger, 2016). Nevertheless, using TEM, researchers cannot easily distinguish the different layers that compose the spore coat structure (Driks & Eichenberger, 2016). Besides, the spore coat is composed of several coat proteins localized in different layers (Driks & Eichenberger, 2016; McKenney et al., 2013). Previous studies have reported the existence of a genomic signature on a spore-forming species of Firmicutes (Abecasis et al., 2013; Galperin, 2013; Galperin et al., 2012). However,



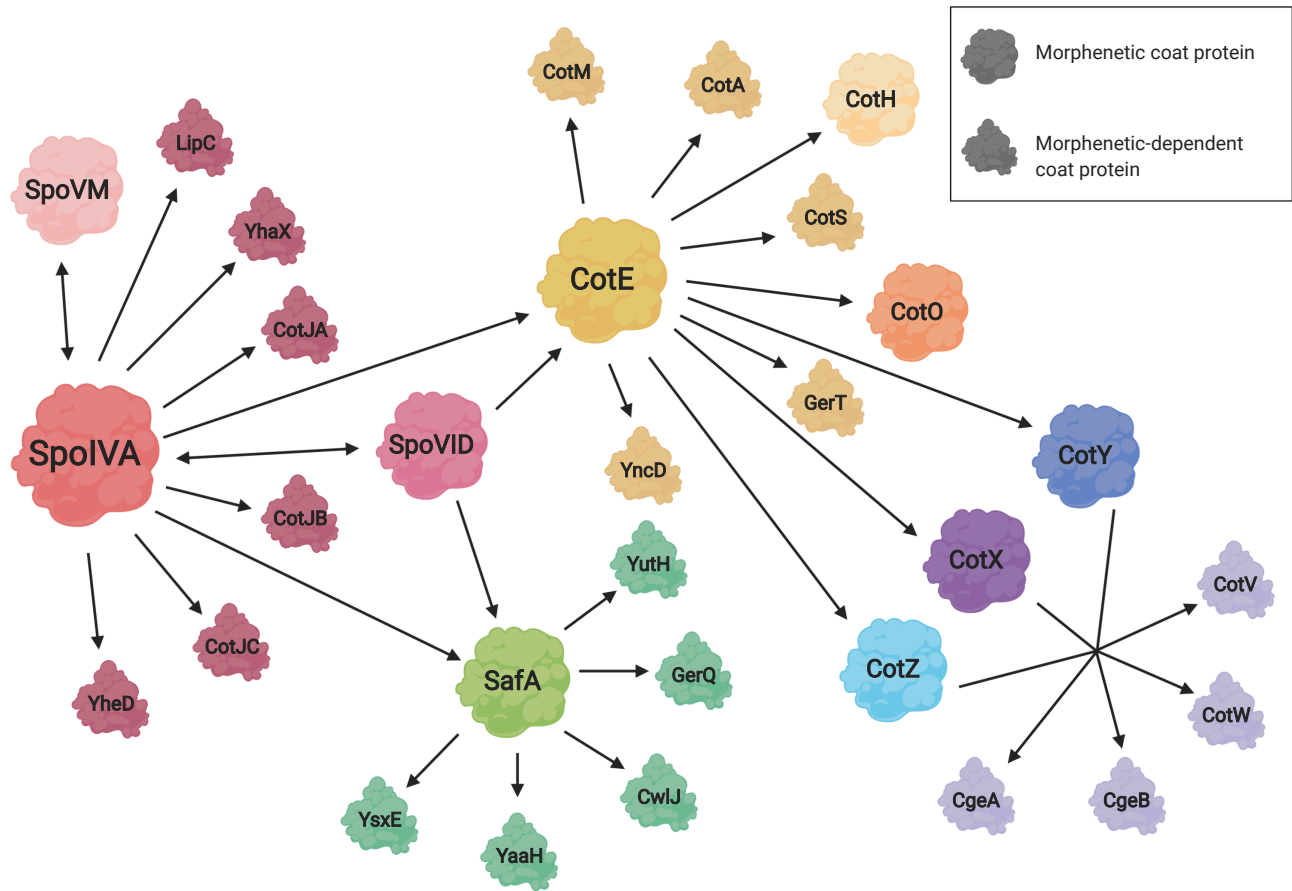


Figure 1.2. Spore coat protein interaction network. Morphogenetic and morphogenetic-dependent coat proteins interact with each other to form the four layers (basement layer, inner layer, outer layer, crust) of the spore coat. Recruitment of the morphogenetic coat proteins SafA and CotE depend on SpoIVA, whereas recruitment of CotO and CotX/Y/Z depend on CotE, the interaction network is highly hierarchical. SpoIVA, SpoVID, and SpoVM are required to initiate coat assembly and are at the top of the spore assembly hierarchy. Modified from McKenney et al. (2013).

the spore coat structure and its genomic signature of many non-model organisms, members of the Bacillales order, that can form spores are still unknown (Galperin, 2013; Galperin et al., 2012; Onyenwoke et al., 2004).

Despite the existence of more than eighty different spore coat proteins, studies have demonstrated that not all of them are required for coat synthesis, assembly, maturation, and

spore germination (Abecasis et al., 2013; Driks & Eichenberger, 2016; Galperin, 2013; Galperin et al., 2012; McKenney et al., 2013; Onyenwoke et al., 2004). Indeed, not all coat protein gene mutations are phenotypically silent, exceptions are morphogenetic coat proteins that control the assembly of other coat proteins (Driks & Eichenberger, 2016). Furthermore, little is known about the selective pressures and evolutionary histories acting upon those morphogenetic spore coat proteins and their dependent proteins among *Bacillus* species (Qin & Driks, 2013).

### 1.8 General and specific objectives

Determine the diversity and evolutionary dynamics of spore coat proteins among spore-forming species belonging to the *Bacillales* order.

- Identify which spore coat proteins of *Bacillus subtilis* are conserved among different *Bacillales* species, by employing three different methods: local BLASTp, Clustering, and KEGG Orthology
- Measure the degree of selection pressures acting on spore coat proteins in *Bacillus* species, by employing five summary statistics: Tajima's D, BUSTED, MEME, dN/dS (branch and site models)
- Analyze HGT events of spore coat proteins across *Bacillus* species

## CHAPTER 2. METHODS

2.1 Sequence data and diversity analyses of spore coat genes in spore-forming species of *Bacillales*

Based on a thorough literature review, we identified ninety-seven genes that encode spore coat proteins in the *Bacillales* order, see Table 2.1. Each gene sequence was downloaded from the SubtiWiki server (<http://subtiwiki.uni-goettingen.de/>) (Zhu & Stülke, 2018) in October and November 2018, and they were located in the annotated genome of *Bacillus subtilis* 168 (NC\_000964). One hundred and forty-one genomes of Bacillales order were retrieved from the NCBI’s FTP server (<https://www.ncbi.nlm.nih.gov/genome/microbes/>). We selected forty genomes of the genus *Bacillus* and one hundred and one genomes of non-*Bacillus* genera belonging to *Bacillales*, see Table 2.2. All the genomes were downloaded as FASTA (.fasta, .fna, .fas) and GENBANK (.gbff, .gb, .gbk) formats for all the subsequent analyses.

Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168

Spore coat gene	Locus Tag	References
<i>cgeA</i>	BSU_19780	(Driks & Eichenberger, 2016)
<i>cgeB</i>	BSU_19790	(Driks & Eichenberger, 2016)
<i>cgeC</i>	BSU_19770	(Driks & Eichenberger, 2016)
<i>cgeD</i>	BSU_19760	(Driks & Eichenberger, 2016)
<i>cgeE</i>	BSU_19750	(Driks & Eichenberger, 2016)
<i>cotA</i>	BSU_06300	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>cotB</i>	BSU_36050	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>cotC</i>	BSU_17700	(Driks & Eichenberger, 2016) (Galperin et al., 2012)

Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168. (Continued)

Spore coat gene	Locus Tag	References
<i>cotD</i>	BSU_22200	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>cotE</i>	BSU_17030	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>cotF</i>	BSU_40530	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>cotG</i>	BSU_36070	(Tirumalai et al., 2013)
		(Driks & Eichenberger, 2016)
<i>cotH</i>	BSU_36060	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>cotI</i>	BSU_30920	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>cotJA</i>	BSU_06890	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>cotJB</i>	BSU_06900	(Tirumalai et al., 2013)
		(Driks & Eichenberger, 2016)
<i>cotJC</i>	BSU_06910	(Galperin et al., 2012)
		(Tirumalai et al., 2013)
<i>cotK/sspO</i>	BSU_17990	(Watabe, 2013)
<i>cotM</i>	BSU_17970	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>cotN/tasA</i>	BSU_24620	(Galperin et al., 2012)

Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168. (Continued)

Spore coat gene	Locus Tag	References
<i>cotO</i>	BSU_11730	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>cotP</i>	BSU_05550	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>cotQ</i>	BSU_34520	(Driks & Eichenberger, 2016)
<i>cotR</i>	BSU_34530	(Driks & Eichenberger, 2016) (Driks & Eichenberger, 2016)
<i>cotS</i>	BSU_30900	(Galperin et al., 2012) (Watabe, 2013) (Driks & Eichenberger, 2016)
<i>cotSA</i>	BSU_30910	(Galperin et al., 2012) (Watabe, 2013)
<i>cotT</i>	BSU_12090	(Driks & Eichenberger, 2016)
<i>cotU</i>	BSU_17670	(Driks & Eichenberger, 2016) (Galperin et al., 2012) (Driks & Eichenberger, 2016)
<i>cotV</i>	BSU_11780	(Watabe, 2013) (Imamura, Kuwana, Takamatsu, & Watabe, 2011) (Driks & Eichenberger, 2016)
<i>cotW</i>	BSU_11770	(Watabe, 2013) (Imamura et al., 2011)
<i>cotX</i>	BSU_11760	(Driks & Eichenberger, 2016) (Driks & Eichenberger, 2016)
<i>cotY</i>	BSU_11750	(Galperin et al., 2012) (Watabe, 2013)



Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168. (Continued)

Spore coat gene	Locus Tag	References
		(Driks & Eichenberger, 2016)
<i>cotZ</i>	BSU_11740	(Galperin et al., 2012)
		(Watabe, 2013)
<i>cwlJ</i>	BSU_02600	(Driks & Eichenberger, 2016)
<i>gerPA</i>	BSU_10720	(Driks & Eichenberger, 2016)
<i>gerPB</i>	BSU_10710	(Driks & Eichenberger, 2016)
<i>gerPC</i>	BSU_10700	(Driks & Eichenberger, 2016)
<i>gerPD</i>	BSU_10690	(Driks & Eichenberger, 2016)
<i>gerPE</i>	BSU_10680	(Driks & Eichenberger, 2016)
<i>gerPF</i>	BSU_10670	(Driks & Eichenberger, 2016)
<i>gerQ</i>	BSU_37920	(Driks & Eichenberger, 2016)
<i>gerT</i>	BSU_19490	(Driks & Eichenberger, 2016)
<i>lipC</i>	BSU_04110	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>oxdD</i>	BSU_18670	(Driks & Eichenberger, 2016)
		(Driks & Eichenberger, 2016)
<i>safA</i>	BSU_27840	(Galperin et al., 2012)
		(Watabe, 2013)
<i>spoIVA</i>	BSU_22800	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>spoVID</i>	BSU_28110	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)
<i>spoVM</i>	BSU_15810	(Driks & Eichenberger, 2016)
		(Galperin et al., 2012)

Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168. (Continued)

Spore coat gene	Locus Tag	References
<i>spsB</i>	BSU_37900	(Driks & Eichenberger, 2016) (Cangiano et al., 2014)
<i>spsI</i>	BSU_37810	(Driks & Eichenberger, 2016)
<i>sscA</i>	BSU_09958	(Driks & Eichenberger, 2016)
<i>tgl</i>	BSU_31270	(Driks & Eichenberger, 2016) (Galperin et al., 2012) (Driks & Eichenberger, 2016)
<i>yaaH</i>	BSU_00160	(Galperin et al., 2012) (Watabe, 2013) (Kodama et al., 1999)
<i>ydgA</i>	BSU_05560	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>ydgB</i>	BSU_05570	(Driks & Eichenberger, 2016) (Galperin et al., 2012) (Driks & Eichenberger, 2016)
<i>ydhD</i>	BSU_05710	(Galperin et al., 2012) (Watabe, 2013) (Kodama et al., 1999)
<i>yhaX</i>	BSU_09830	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>yhbA/queG</i>	BSU_08910	(Galperin et al., 2012)
<i>yhbB</i>	BSU_08920	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>yhcN</i>	BSU_09150	(Galperin et al., 2012)
<i>yhcQ</i>	BSU_09180	(Galperin et al., 2012)

Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168. (Continued)

Spore coat gene	Locus Tag	References
<i>yheC</i>	BSU_09780	(Driks & Eichenberger, 2016)
<i>yheD</i>	BSU_09770	(Driks & Eichenberger, 2016)
<i>yhjQ</i>	BSU_10600	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>yhjR</i>	BSU_10610	(Driks & Eichenberger, 2016) (Galperin et al., 2012) (Driks & Eichenberger, 2016)
<i>yisY</i>	BSU_10900	(Galperin et al., 2012) (Tirumalai et al., 2013)
<i>yjcB</i>	BSU_11800	(Watabe, 2013)
<i>yjqC</i>	BSU_12490	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>yjzB</i>	BSU_11320	(Driks & Eichenberger, 2016)
<i>yknT</i>	BSU_14250	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>ykvP</i>	BSU_13780	(Driks & Eichenberger, 2016)
<i>ykvQ</i>	BSU_13790	(Driks & Eichenberger, 2016)
<i>ykzQ</i>	BSU_13789	(Driks & Eichenberger, 2016)
<i>ylbD</i>	BSU_14970	(Galperin et al., 2012)
<i>ylbO/gerR</i>	BSU_15090	(Watabe, 2013)
<i>ymaG</i>	BSU_17310	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>yncD</i>	BSU_17640	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>ypeP</i>	BSU_21970	(Galperin et al., 2012)

Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168. (Continued)

Spore coat gene	Locus Tag	References
<i>yppG</i>	BSU_22250	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>ypzA</i>	BSU_21950	(Galperin et al., 2012) (Driks & Eichenberger, 2016)
<i>yraD</i>	BSU_26990	(Galperin et al., 2012) (Tirumalai et al., 2013) (Driks & Eichenberger, 2016)
<i>yraF</i>	BSU_26960	(Galperin et al., 2012) (Tirumalai et al., 2013)
<i>yraG</i>	BSU_26950	(Tirumalai et al., 2013)
<i>yrbB/coxA</i>	BSU_27830	(Watabe, 2013)
<i>yrbC</i>	BSU_27820	(Watabe, 2013)
<i>ysnD</i>	BSU_28320	(Driks & Eichenberger, 2016)
<i>ysxE</i>	BSU_28100	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>ytdA</i>	BSU_30850	(Driks & Eichenberger, 2016)
<i>ytxO</i>	BSU_30890	(Driks & Eichenberger, 2016)
<i>yusN</i>	BSU_32860	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>yutH</i>	BSU_32270	(Driks & Eichenberger, 2016) (Galperin et al., 2012)
<i>yuzC</i>	BSU_31730	(Driks & Eichenberger, 2016)
<i>ywqH</i>	BSU_36210	(Tirumalai et al., 2013)
<i>ywrJ</i>	BSU_36040	(Driks & Eichenberger, 2016) (Galperin et al., 2012)

Table 2.1 Ninety-seven spore coat genes identified in *Bacillales* and their location in the genome of the model organism *B. subtilis* 168. (Continued)

Spore coat gene	Locus Tag	References
		(Driks & Eichenberger, 2016)
<i>yxwE</i>	BSU_39580	(Galperin et al., 2012)
		(Watabe, 2013)
<i>yybI</i>	BSU_40630	(Driks & Eichenberger, 2016)
		(Driks & Eichenberger, 2016)
<i>yeek</i>	BSU_06850	(Galperin et al., 2012)
		(Watabe, 2013)

Table 2.2 Genomes of *Bacillales* retrieved from NCBI's FTP server

Genome	Reference*
<i>Bacillus</i>	
<i>Bacillus subtilis</i> 168	NC_000964
<i>Bacillus altitudinis</i> W3	NZ_CP011150
<i>Bacillus amyloliquefaciens</i> DSM7	NC_014551
<i>Bacillus anthracis</i> Ames Ancestor	NC_007530
<i>Bacillus aryabhatai</i> B8W22	NZ_JYOO01000001
<i>Bacillus atrophaeus</i> SRCM101359	NZ_CP021500
<i>Bacillus beberidgei</i> MLTeJB	NZ_CP012502
<i>Bacillus bombysepticus</i> Wang	NZ_CP007512
<i>Bacillus cellulosilyticus</i> DSM2522	NC_014829
<i>Bacillus cereus</i> ATCC14579	NC_004722
<i>Bacillus clausii</i> KSM-K16	NC_006582
<i>Bacillus coagulans</i> DSM1 ATCC7050	NZ_ATUM01000001
<i>Bacillus cohnii</i> DSM6307	NZ_CP018866
<i>Bacillus cytotoxicus</i> NVH391-98	NC_009674

Note. \*NCBI accession number

Table 2.2 Genomes of *Bacillales* retrieved from NCBI's FTP server (Continued)

<b>Genome</b>	<b>Reference*</b>
<i>Bacillus endophyticus</i> DSM13796	NZ_FOXX01000035
<i>Bacillus flexus</i> KLBMP4941	NZ_CP016790
<i>Bacillus gibsonii</i> FJAT-10019	NZ_CP017070
<i>Bacillus glycinifermentans</i> BGLY	NZ_LT603683
<i>Bacillus halodurans</i> C-125	NC_002570
<i>Bacillus horikoshii</i> 20a	NZ_CP020880
<i>Bacillus infantis</i> NRRL B-14911	NC_022524
<i>Bacillus krulwichiae</i> AM31D	NZ_CP020814
<i>Bacillus lehensis</i> G1	NZ_CP003923
<i>Bacillus licheniformis</i> ATCC14580	NC_006270
<i>Bacillus megaterium</i> NBRC15308 ATCC14581	NZ_CP009920
<i>Bacillus methanolicus</i> MGA3	NZ_CP007739
<i>Bacillus muralis</i> G25-68	NZ_CP017080
<i>Bacillus mycooides</i> ATCC6462	NZ_CP009692
<i>Bacillus oceanisediminis</i> 2691	NZ_CP015506
<i>Bacillus paralicheniformis</i> ATCC9945a	NC_021362
<i>Bacillus pseudofirmus</i> OF4	NC_013791
<i>Bacillus pumilus</i> SH-B9	NZ_CP011007
<i>Bacillus simplex</i> SH-B26	NZ_CP011008
<i>Bacillus smithii</i> DSM4216	NZ_CP012024
<i>Bacillus sonorensis</i> SRCM101395	NZ_CP021920
<i>Bacillus thuringiensis</i> serovar konkukian 97-27	NC_005957
<i>Bacillus toyonensis</i> BCT-7112	NC_022781
<i>Bacillus vallismortis</i> NBIF-001	NZ_CP020893
<i>Bacillus velezensis</i> FZB42	NC_009725

Note. \*NCBI accession number

Table 2.2 Genomes of *Bacillales* retrieved from NCBI's FTP server (Continued)

<b>Genome</b>	<b>Reference*</b>
<i>Bacillus weihaiensis</i> Alg07	NZ_CP016020
<i>Non-bacillus</i>	
<i>Alicyclobacillus acidocaldarius</i> DSM446	NC_013205
<i>Alkalibacillus haloalkaliphilus</i> C5	NZ_AKIF01000001
<i>Amphibacillus marinus</i> CGMCC1-10434	NZ_FODJ01000028
<i>Amphibacillus sediminis</i> NBRC103570	NZ_BCQW01000001
<i>Amphibacillus xylanus</i> NBRC15112	NC_018704
<i>Aneurinibacillus soli</i> CB4	NZ_AP017312
<i>Aneurinibacillus</i> sp. XH2	NZ_CP014140
<i>Anoxybacillus amylolyticus</i> DSM15939	NZ_CP015438
<i>Anoxybacillus gonensis</i> G2	NZ_CP012152
<i>Anoxybacillus tepidamans</i> PS2	NZ_JHVN01000001
<i>Brevibacillus brevis</i> NBRC100599	NC_012491
<i>Brevibacillus formosus</i> NF2	NZ_CP018145
<i>Brevibacillus laterosporus</i> LMG1544	NZ_CP007806
<i>Exiguobacterium antarcticum</i> B7	NC_018665
<i>Geobacillus genomosp</i> JF8	NC_022080
<i>Geobacillus kaustophilus</i> HTA426	NC_006510
<i>Geobacillus</i> sp. C56-T3	NC_014206
<i>Geobacillus stearothermophilus</i> 10	NZ_CP008934
<i>Geobacillus subterraneus</i> KCTC3922	NZ_CP014342
<i>Geobacillus thermoleovorans</i> KCTC3570	NZ_CP014335
<i>Gracilibacillus halophilus</i> YIM-C55-5	NZ_AFML01000001
<i>Gracilibacillus kekensis</i> CGMCC1.10681	NZ_FRCZ01000013
<i>Gracilibacillus lacisalsi</i> DSM19029	NZ_KB898662
<i>Gracilibacillus massiliensis</i>	NZ_CZRP01000001

Note. \*NCBI accession number

Table 2.2 Genomes of *Bacillales* retrieved from NCBI's FTP server (Continued)

<b>Genome</b>	<b>Reference*</b>
<i>Gracilibacillus orientalis</i> CGMCC1.4250	NZ_FOTR01000026
<i>Gracilibacillus timonensis</i> P2481	NZ_FLKH01000001
<i>Gracilibacillus ureilyticus</i> CGMCC1-7727	NZ_FOGL01000035
<i>Halalkalibacillus halophilus</i> DSM18494	NZ_KE383978
<i>Halobacillus halophilus</i> DSM2266	NC_017668
<i>Halobacillus mangrovi</i> KTB131	NZ_CP020772
<i>Halolactibacillus alkaliphilus</i> CGMCC1-6843	NZ_FOWN01000063
<i>Halolactibacillus halophilu</i> DSM17073	NZ_FOXC01000095
<i>Halolactibacillus miurensis</i> DSM17074	NZ_FPAI01000063
<i>Halolactibacillus</i> sp. JCM19043	NZ_BAXD01000001.1
<i>Jeotgalibacillus malaysiensis</i> D5	NZ_CP009416
<i>Jeotgalicoccus marinus</i> DSM19772	NZ_KE384460
<i>Jeotgalicoccus saudimassiliensis</i> 13MG44	NZ_CCSE01000001
<i>Kyrpidia tusciae</i> DSM2912	NC_014098
<i>Lentibacillus amyloliquefaciens</i> LAM0015	NZ_CP013862
<i>Lysinibacillus fusiformis</i> RB21	NZ_CP010820
<i>Lysinibacillus sphaericus</i> 2362	NZ_CP015224
<i>Lysinibacillus sphaericus</i> III37	NZ_CP014856
<i>Lysinibacillus sphaericus</i> LMG22257	NZ_CP017560
<i>Lysinibacillus varians</i> Gy32	NZ_CP006837
<i>Oceanobacillus iheyensis</i> HTE831	NC_004193
<i>Ornithinibacillus halophilus</i> IBRCM10683	NZ_FQVW01000109
<i>Paenibacillus beijingensis</i> DSM24997	NZ_CP011058
<i>Paenibacillus borealis</i> DSM13188	NZ_CP009285
<i>Paenibacillus bovis</i> BD3526	NZ_CP013023
<i>Paenibacillus donghaensis</i> KCTC13049	NZ_CP021780

Note. \*NCBI accession number



Table 2.2 Genomes of *Bacillales* retrieved from NCBI's FTP server (Continued)

<b>Genome</b>	<b>Reference*</b>
<i>Paenibacillus durus</i> DSM1735	NZ_CP009288
<i>Paenibacillus larvae</i> ATCC9545	NZ_CP019687
<i>Paenibacillus mucilaginosus</i> 3016	NC_016935
<i>Paenibacillus mucilaginosus</i> KNP414	NC_015690
<i>Paenibacillus naphthalenovorans</i> 32OY	NZ_CP013652
<i>Paenibacillus odorifer</i> DSM15391	NZ_CP009428
<i>Paenibacillus polymyxa</i> E681	NC_014483
<i>Paenibacillus polymyxa</i> M1	NC_017542
<i>Paenibacillus polymyxa</i> SC2	NC_014622
<i>Paenibacillus</i> sp. JDR-2	NC_012914
<i>Paenibacillus</i> sp. Y412MC10	NC_013406
<i>Paenibacillus stellifer</i> DSM14472	NZ_CP009286
<i>Paenibacillus swuensis</i> DY6	NZ_CP011388
<i>Paenibacillus terrae</i> HPL-003	NC_016641
<i>Paenibacillus yonginensis</i> DCY84	NZ_CP014167
<i>Paenisporosarcina indica</i>	NZ_MPTA01000001
<i>Paenisporosarcina quisquiliarum</i>	NZ_FOBQ01000020
<i>Paenisporosarcina</i> sp. HGH0030	NZ_KE150421
<i>Paraliobacillus ryukyuensis</i> Marseille-P3391	NZ_FVZO01000017
<i>Paraliobacillus</i> sp. PM-2	NZ_CTEI01000001
<i>Paucisalibacillus globulus</i> DSM1884	NZ_AXVK01000001
<i>Piscibacillus halophilus</i> DSM21633	NZ_FOES01000082
<i>Pontibacillus halophilus</i> JSM076056 DSM19796	NZ_AULI01000001
<i>Pontibacillus marinus</i> BH030004 DSM16465	NZ_AULJ01000001
<i>Saccharibacillus kuerlensis</i> DSM22868	NZ_KB899277
<i>Saccharibacillus sacchari</i> DSM19268	NZ_KK073875

Note. \*NCBI accession number

Table 2.2 Genomes of *Bacillales* retrieved from NCBI's FTP server (Continued)

<b>Genome</b>	<b>Reference*</b>
<i>Salimicrobium jeotgali</i> MJ3	NZ_CP011361
<i>Salsuginibacillus kocurii</i> DSM18087	NZ_KB898623
<i>Sporolactobacillus nakayamae</i> ATCC700379	NZ_FOOY01000021
<i>Sporolactobacillus vineae</i> DSM21990	NZ_KB899042
<i>Sporosarcina psychrophila</i> DSM6497	NZ_CP014616
<i>Sporosarcina</i> sp. P33	NZ_CP015027
<i>Sporosarcina</i> sp. P37	NZ_CP015349
<i>Sporosarcina ureae</i> P17a	NZ_CP015109
<i>Sporosarcina ureae</i> P8	NZ_CP015207
<i>Tenuibacillus multivorans</i> CGMCC1-344	NZ_FNIG01000018
<i>Terribacillus halophilus</i> T-h1	NZ_LT727815
<i>Thalassobacillus cyri</i> CCM7597	NZ_FNQR01000037
<i>Thalassobacillus devorans</i> MSP14	NZ_KI543236
<i>Thermicanus aegyptius</i> DSM12793	NZ_KI783301
<i>Thermobacillus composti</i> KWC4	NC_019897
<i>Tuberibacillus calidus</i> DSM17572	NZ_KE387193
<i>Tumebacillus algifaecis</i>	NZ_CP022657
<i>Ureibacillus thermosphaericus</i> A1	NZ_AP018335
<i>Virgibacillus halodenitrificans</i> PDBF2	NZ_CP017962
<i>Virgibacillus necropolis</i> LMG19488	NZ_CP022437
<i>Virgibacillus phasianinus</i> LM2416	NZ_CP022315
<i>Virgibacillus</i> sp. 6R	NZ_CP017762
<i>Virgibacillus</i> sp. SK37	NZ_CP007161
<i>Vulcanibacillus modesticaldus</i> BR	NZ_MIJF01000001

Note. \*NCBI accession number

Command-line BLAST+ v2.7.1 was downloaded from the National Institutes of Health server (<https://www.nih.gov/>) for Windows 10 Home with a 64-bit operating system (Altschul, Gish, Miller, Myers, & Lipman, 1990; Altschul et al., 1997; Camacho et al., 2009) and used to search of spore coat protein homologs in *Bacillus* and *Bacillales* genomes. For this, we created genome databases for all the genomes of Bacillales using the FASTA files. All the spore coat genes were translated to aminoacids in the correct reading frame using the server Sequence Manipulation Suite (<https://www.bioinformatics.org/sms2/translate.html>) (Stothard, 2000) and were included on a single FASTA file. The file was then blasted against each genome database of the Bacillales order using local BLASTp with default settings, and the output was saved as a TXT file with the option -outfmt “7 bitscore evaluate qlen length sseq” to keep a record of the Bit score value and E-value. As suggested by Pearson (2013), an E-value less than 0.001 and a bit score higher than 40 were used to validate a result since the protein databases contained less than 7000 entries (Pearson, 2013).

Clustering analysis of spore coat proteins was performed using the software package Many-against-Many sequence searching (MMseqs2) (Steinegger & Söding, 2017, 2018) by Dr. J. A. Castillo to group spore coat proteins homologs with a 70 and 90% of coverage in *Bacillales* genome. Additionally, the KEGG Orthology database (Kanehisa, Sato, Kawashima, Furumichi, & Tanabe, 2016; Kanehisa, Sato, & Morishima, 2016) was used by Dr. J. A. Castillo to search for spore coat genes orthologs across *Bacillales* genomes of Table 2.2.

Genes with positive hits for the three methods (BLASTp, Clustering, KEGG Orthology) were recorded as highly significant and accepted to appear in the subject genomes. On the other hand, genes with hits for one or two methods were accepted as secondarily significant. A consensus heat map was created to summarize the results provided by the three methods (see Figure 3.1 below).

## 2.2 Selection pressure and statistical analyses

Based on the results of the presence of spore coat proteins on the genome databases shown in Figure 3.1 (see below), we searched for the possible presence of each spore coat

gene on the genomes of the genus *Bacillus*. We used the GENBANK annotated genomes to ensure that each spore coat gene sequence is in its correct reading frame. For this, we discarded spore coat genes if the description of the gene on the annotated genome contained the word “*frameshifted*”. We created gene datasets that contained all the found spore coat genes sequences for each genome. Furthermore, phylogenetic analyses were performed by Dr. J. A. Castillo using core genome amino acid sequences to infer monophyletic groups within *Bacillus*.

We aligned the spore coat genes sequences using the TranslatorX server (<http://translatorx.co.uk/>) (Abascal, Zardoya, & Telford, 2010) with MAFFT aligner and default settings. The aligned sequences were saved as FASTA files.

We applied the summary statistic Tajima’s D to detect selection pressure of the spore coat genes within the different *Bacillus* groups. For each spore coat gene, we employed the DNASP v6.12 software (Rozas et al., 2017) with the nucleotide substitutions considered as the total number of mutations for the Tajima’s D summary statistic. Since DNASP requires a minimum of four gene sequences to calculate Tajima’s D, spore coat gene datasets with less than four sequences were not taken into account. A p-value less than 0.05 was considered to validate a result as significant.

For the following analyses of this section, all the gene datasets did not contain any stop codons as required by the methods. We used the webserver DataMonkey (<http://test.datamonkey.org/>), which implements the method “Branch-Site Unrestricted Statistical Test for Episodic Diversification” (BUSTED) that is useful to detect positive selection on at least one branch of the phylogeny at a gene level (Murrell et al., 2015), and the method ‘Mixed Effects Model of Evolution’ (MEME) that detects episodic positive or diversifying selection at individual sites in genes (Murrell et al., 2012). In BUSTED, we selected all the branches of the phylogeny for the analysis (foreground branches).

Moreover, we employed CODEML that is part of the Phylogenetic Analysis by Maximum Likelihood (PAML) package to calculate the ratio ( $\omega$ ) of non-synonymous (dN) to synonymous (dS) changes across spore coat genes sequences (Yang, 1997, 2007). To provide the phylogeny required by CODEML, we used the webserver PhyML (<http://www.atgc-montpellier.fr/>

phym1/) (Guindon et al., 2010) with the Subtree Pruning and Regrafting (SPR) option and default settings to reconstruct the phylogeny for each spore coat gene. Then, the aligned gene sequences as FASTA files and phylogenetic trees as TREE files were used in CODEML. For this analysis, sites and branch models were used with default settings and 'codons' as the sequence type. In sites model, we tested each gene sequence for the following nested models 'M1 nearly neutral' ( $\omega < 1$ ;  $\omega = 1$ ) (Nielsen & Yang, 1998; Yang, Wong, & Nielsen, 2005), 'M2 positive selection' ( $\omega < 1$ ;  $\omega = 1$ ;  $\omega > 1$ ) (Nielsen & Yang, 1998; Yang et al., 2005) and 'M7  $\beta$  distribution' ( $\omega < 1$ ;  $\omega = 1$ ) (Yang, Nielsen, Goldman, & Pedersen, 2000), 'M8  $\beta$  distribution + positive selection' ( $\omega < 1$ ;  $\omega = 1$ ;  $\omega > 1$ ) (Yang et al., 2000). Then, we performed a 'Likelihood Ratio Test' (LRT) to select the model that best fits the given data.

### 2.3 Horizontal gene transfer events

To search for HGT events in spore coat genes, we employed the software Notung v2.9 that reconciles a gene tree with a species tree to infer duplication-transfer-loss (DTL) event models with a parsimony-based optimization criterion (Darby, Stolzer, Ropp, Barker, & Durand, 2017; Durand, Halldórsson, & Vernet, 2006; Stolzer et al., 2012; Vernet, Stolzer, Goldman, & Durand, 2008). First, we uploaded the gene tree and the species tree. Then, we selected the "Prefix of the gene label (i.e. SPECIESGENE)" option to reconcile the trees.

To perform DTL event models, Notung requires rooted trees. For this, we employed the Bayesian Evolutionary Analysis Sampling Tree (BEAST) v1.8.4 package (Suchard et al., 2018) to reconstruct the phylogeny of each spore coat gene and its species. For this reconstruction, we employed the best-fit model of nucleotide substitution for our datasets. The models were inferred using the webserver Smart Model Selection in PhyML (SMS) (<http://www.atgc-montpellier.fr/sms/>) (Lefort, Longueville, & Gascuel, 2017) to select the best selection model using a likelihood-based criterion (AIC) for nucleotide spore coat genes and amino acid core genome sequences.

The following parameters were used in BEAUti (part of the BEAST package) for the phylogenetic reconstruction: substitution model suggested by SMS, strict molecular clock, Co-

alescent Bayesian Skyline as the tree prior, 10 000 000 iterations as the length of chains for Markov Chain Monte Carlo (MCMC), and 1000 as echo state. We employed Tracer v1.7.1 (Rambaut, Drummond, Xie, Baele, & Suchard, 2018) to assess the Effective Sample Size (ESS) values of the MCMC trace files produced by BEAST, and to confirm that the analysis reached the convergence. Furthermore, TreeAnnotator v1.8.4 was employed to generate a single tree as a TREE file that summarizes the information of sampled trees produced by BEAST.

In order to reduce false positives, we scanned the genomes of possible candidates of HGT events for traces of integrative, conjugative, and mobile elements, based on the results provided by Notung. For this, we downloaded a region of the genome of approximately ten genes upward and downward from the spore coat gene subjected to HGT as nucleotides in FASTA files from the NCBI's FTP server.

Then, we used the detection tool "WU-BLAST2 search" of the web server ICEberg 2.0 (<http://db-mml.sjtu.edu.cn/ICEberg/>), which is a database containing information about bacterial integrative and conjugative elements (ICEs), as well as integrative and mobilizable elements (IMEs) and cis-mobilizable elements (CIMEs) (Liu et al., 2019)(Liu et al., 2019). Furthermore, we employed the Genomic Island Prediction Software v1.1.2 (GIPSy) to detect genomic islands (GEIs), which are further classified into four categories: pathogenicity islands (PAIs), metabolic islands (MIs), resistance islands (RIs), and symbiotic islands (SIs), which may contain genes encoding virulence, metabolism, antibiotic resistance, and symbiosis-related functions respectively (Barcellos, Menna, Batista, & Hungria, 2007; Dobrindt et al., 2000; Krizova & Nemeč, 2010; Soares et al., 2016; Tumapa et al., 2008). GEIs tend to carry novel genes that do not have homologs in other species compared to the rest of the genome (Hsiao et al., 2005; Juhas et al., 2009). Thus, we applied GIPSy to detect spore coat genes that have been acquired by HGT and are located on GEIs for each *Bacillus* group. Hits with an E-value less than 0.001 and a Bit score higher than 40 were considered as valid (Pearson, 2013).

## CHAPTER 3. RESULTS

### 3.1 Spore coat proteins diversity across *Bacillales* and consensus heat map

In order to understand the diversity of spore coat genes on *Bacillales*, we carried out three distinct methods to identify the possible existence of coat genes homologs on different genomes. The three methods were used to reduce false positives. In the first approach, local databases were created using command-line BLAST+ with one hundred forty-one genomes of *Bacillales* and ninety-seven spore coat genes of *B. subtilis* 168, the model organism for sporulation studies (Driks & Eichenberger, 2016).

BLAST+ provided statistical information of similarity ('bit score' and 'e-value') for each alignment (Camacho et al., 2009) between the spore coat protein sequences (query) and the *Bacillales* genomes sequences (subject). Given that E-value depends on database size, the alignment score may be less significant in larger databases compared to smaller databases with the same score (Pearson, 2013). Bit score and E-value are more sensitive to infer homology than percent identity (Pearson, 2013). In protein databases with less than 7000 entries a bit score of 40 and E-value  $< 0.001$  is considered significant (Pearson, 2013), as the case for this work. Furthermore, we employed a translated-DNA:protein alignment because this type of alignment can detect homology in sequences that diverged more than 2.5 billion years ago, as the case of bacteria (Pearson, 2013). The results of BLASTp+ are shown in Figure SX as the number of positive hits of each spore coat protein on each genome.

To provide further evidence of the presence of spore coat proteins on *Bacillales* the results of Clustering and KEGG Orthology were taken into account (Castillo, J. A. unpublished results, 2020). The software MMseqs2 was used to cluster similar spore coat protein sequences with a coverage of 70 to 90%, whereas KEGG Orthology tool was used to find spore coat genes orthologs across different *Bacillales* genomes.

Figures 3.1 and 3.2 represents the presence/absence relation of spore coat proteins homologs across *Bacillales*. As expected, *B. subtilis* 168 contains the ninety-seven spore coat

proteins, this is confirmed by BLASTp+, Clustering, and KEGG Orthology. *Bacillus gibsonii* FJAT 10019, *Bacillus atrophaeus* SRCM101359, *Bacillus vallismortis* NBIF 001, and *Bacillus velezensis* FZB42 contain a higher number of spore coat proteins compared to the rest of *Bacillales* because they belong to the *Bacillus subtilis* group, according to the NCBI taxonomy (Benson, Karsch-Mizrachi, Lipman, Ostell, & Sayers, 2009; Sayers et al., 2009) and our phylogenetic analyses of the core genome (Castillo, J. A. unpublished results, 2020).

Moreover, spore coat proteins such as CotE, CotJA, CotJB, CotJC, CwlJ GerQ, SpoIVA, SpoVID, SpoVM, YhbA/QueG, YhbB, and YchN appear to be mostly ubiquitous among the *Bacillales* genomes analyzed in this work. Other spore coat proteins, such as GerPA, GerPB, GerPC, GerPD, GerPE, and GerPF seem to be present on *Alkalibacillus haloalkaliphilus* C5, *Amphibacillus marinus* CGMCC1-10434, *Amphibacillus sediminis* NBRC103570, genomes of the genus *Geobacillus* and *Gracibacillus*, *Halalkalibacillus halophilus* DSM18494, *Halobacillus halophilus* DSM2266, and *Halobacillus mangrovi* KTB131. Likewise, the spore coat protein CgeB have many homologs among the genomes of the genus *Paenibacillus*. Overall, genomes of the genus *Bacillus* contain some spore coat proteins homologs not present on other *Bacillales*, such as CgeD, CotA, CotD, CotI, CotN, CotR, CotS, CotSA, LipC, SafA, Tgl, YaaH, YdhD, YhcQ, YheC, YheD, YhjR, YisY, YjqC, YkvP, YkvQ, YkzQ, YlbD, YlbO/GerR, YncD, YpeP, YrbB/CoxA, YrbC, YsxE, YtdA, YusN, YutH, YuzC, and YwqH. However, those spore coat proteins homologs are only detected by one method, see Figure X.

Spore coat proteins, such as CgeA, CotC, CotT, CotU, YdgA, YdgB, YeeK, YjcB, YjzB, YknT, YmaG, YsnD, and YtxO are poorly present on genomes of *Bacillales* other than *B. subtilis* 168 and *B. gibsonii* FJAT 10019. The genomes of *Bacillus beveridgei* MLTeJB, *Exiguobacterium antarcticum* B7, *Jeotgalicoccus marinus* DSM19772 and *Jeotgalicoccus saudimassiliensis* 13MG44 contain few secondarily significant results of spore coat proteins homologs.

### 3.2 Selection pressure

In order to understand selection pressure that acts on spore coat genes, we employed the classical approaches Tajima's D test and dN/dS ( $\omega$ ) ratio and two new approaches (BUSTED,



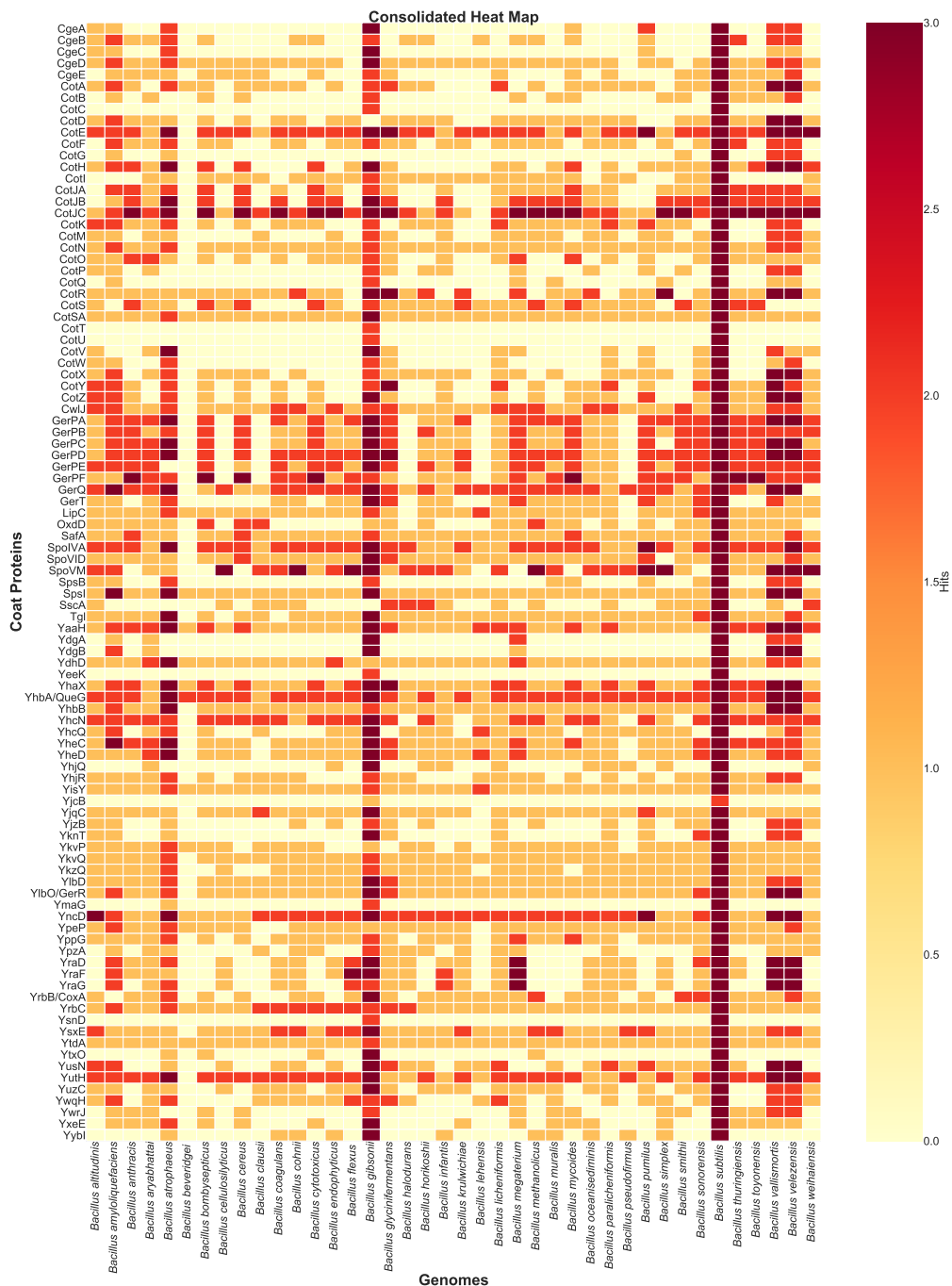


Figure 3.1. Consolidated heat map of ninety-seven spore coat proteins homologs over forty genomes of *Bacillus* based on three methods: BLAST+p, Clustering, and KEGG Orthology. Primarily significant results (dark red) have been confirmed by the three methods, whereas secondarily significant results (orange and yellow) have been confirmed by either one or two methods.

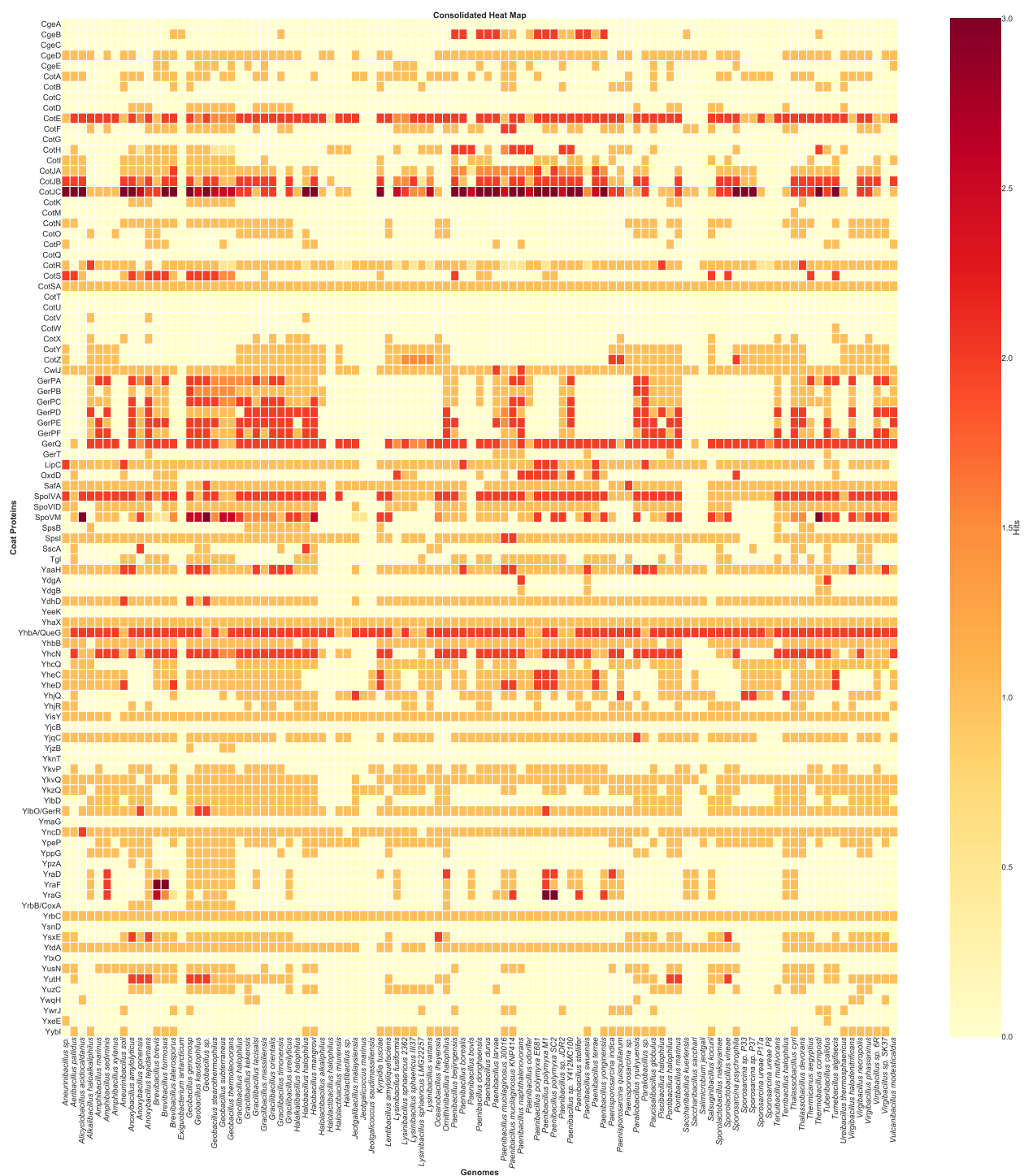


Figure 3.2. Consolidated heat map of ninety-seven spore coat proteins homologs over one hundred and one genomes of *Non-bacillus* based on three methods: BLAST+p, Clustering, and KEGG Orthology. Primarily significant results (dark red) have been confirmed by the three methods, whereas secondarily significant results (orange and yellow) have been confirmed by either one or two methods.

MEME) that use sophisticated algorithms for detecting episodic positive selection in all or a subset of branches on a phylogeny. For this, we created a spore coat genes sequences datasets for each *Bacillus* group, based on the results of the consensus heat map (Figure 3.1). Unfortunately, we were not able to retrieve all the spore coat gene sequences reported in Figure 3.1 due to the lack of information and annotation errors of spore coat genes on genomes available on NCBI, see Table 3. Moreover, we used the phylogenetic analyses of core genome performed by Dr. J. A. Castillo to classify groups within the *Bacillus* genus.

Table 3.1 Coat genes across *Bacillus* groups retrieved from genome databases, based on presence/absence results of Figure 3.1

<b>Coat gene</b>
Cereus group
<i>cotE</i>
<i>cotH</i>
<i>cotJA</i>
<i>cotJB</i>
<i>cotJC</i>
<i>cotK/sspO</i>
<i>cotS</i>
<i>cotY</i>
<i>cwlJ</i>
<i>gerPA</i>
<i>gerPB</i>
<i>gerPC</i>
<i>gerPD</i>
<i>gerPE</i>
<i>gerPF</i>
<i>gerQ</i>
<i>spoIVA</i>

Table 3.1 Coat genes across *Bacillus* groups retrieved from genome databases, based on presence/absence results of Figure 3.1. (Continued)

Coat gene
<i>spoVID</i>
<i>spoVM</i>
<i>yhbA/queG</i>
<i>yhcN</i>
<i>yheC</i>
<i>yheD</i>
<i>ysxE</i>
<i>yutH/cotNH</i>
Coagulans group
<i>cotE</i>
<i>gerPE</i>
<i>gerQ</i>
<i>spoVM</i>
<i>yhbA/queG</i>
<i>ysxE</i>
<i>yutH/cotNH</i>
Halodurans group
<i>gerQ</i>
<i>yhbA/queG</i>
Megaterium group
<i>cotE</i>
<i>gerPE</i>
<i>gerQ</i>
<i>yhbA/queG</i>
<i>yhcN</i>

Table 3.1 Coat genes across *Bacillus* groups retrieved from genome databases, based on presence/absence results of Figure 3.1. (Continued)

Coat gene
<i>ysxE</i>
<i>yutH/cotNH</i>
Methanolicus group
<i>cotE</i>
<i>cotJA</i>
<i>cotJB</i>
<i>gerPE</i>
<i>gerQ</i>
<i>spoIVA</i>
<i>spoVID</i>
<i>yhbA/queG</i>
<i>yhcN</i>
<i>ysxE</i>
<i>yutH/cotNH</i>
Pumilus group
<i>cotE</i>
<i>gerPB</i>
<i>gerPC</i>
<i>gerPE</i>
<i>gerQ</i>
<i>gerT</i>
<i>yhbA/queG</i>
<i>yhcN</i>
<i>ysxE</i>
Subtilis group

Table 3.1 Coat genes across *Bacillus* groups retrieved from genome databases, based on presence/absence results of Figure 3.1. (Continued)

Coat gene
<i>cotA</i>
<i>cotE</i>
<i>cotJA</i>
<i>cotJB</i>
<i>cotJC</i>
<i>cotY</i>
<i>cotZ</i>
<i>gerPB</i>
<i>gerPE</i>
<i>gerPD</i>
<i>gerPE</i>
<i>gerQ</i>
<i>gerT</i>
<i>safA</i>
<i>spoIVA</i>
<i>spoVM</i>
<i>yhbA/queG</i>
<i>yhcN</i>
<i>yheC</i>
<i>yrbB/coxA</i>
<i>ysxE</i>
<i>yutH/cotNH</i>

Tajima's D takes into account the number of segregating sites and the average number of nucleotide differences under a neutral mutation model and a population at mutation-drift equilibrium (Tajima, 1989). The null hypothesis for Tajima's D states that no selection is

acting at a locus and that the population has not experienced recent events of growth or contraction (Schmidt & Pool, 2002; Tajima, 1989). Furthermore, Tajima's D test values are sensitive to selection or demographic events (Campo et al., 2013; Schmidt & Pool, 2002). For instance, a positive value may reflect balancing selection or demographic events of population reduction, population subdivision or a recent bottleneck (Castillo & Agathos, 2019; Schmidt & Pool, 2002). On the other side, a negative value may reflect purifying selection or events of population expansion, a distant bottleneck or migration (Campo et al., 2013; Schmidt & Pool, 2002).

BUSTED is an unrestricted branch-site random effect model that is capable of detecting positive selection on a subset of branches on a phylogeny at a subset of sites within a gene (Murrell et al., 2015). BUSTED allows  $\omega$  to vary from branch to branch (Kosakovsky Pond et al., 2011; Murrell et al., 2015), and can be employed on an entire phylogeny (without previous knowledge of which branches are under positive selection; all branches are treated as foreground branches) or on a pre-specified subset of branches (foreground and background branches) (Murrell et al., 2015). The use of a stochastic selection of the random effects framework to detect evidence of positive selection has an advantage over classical test which average  $\omega$  across branches, codons or both (Murrell et al., 2015). It is important to mention that a significant result for positive selection does not imply that the gene evolved under positive selection along the entire foreground branch but that at least one site, at least some of the time, has experienced positive selection (Murrell et al., 2015).

MEME is based on branch-site random effects phylogenetic models with a mixed-effects maximum likelihood approach to test whether individual sites under a proportion of branches have evolved under episodic and pervasive positive selection (Murrell et al., 2012). MEME allows  $\omega$  to vary from site to site and from branch to branch at a site (Murrell et al., 2012).

CodeML employs several codon substitution models to calculate the ratio  $\omega$  between dN non-synonymous and dS synonymous substitution rates that have acted on protein-coding sequences (Yang, 1997, 2007). Values of  $\omega < 1$ ,  $=1$ , and  $>1$  represent purifying selection (non-synonymous rates is lower than synonymous rates), neutral evolution (similar non-synonymous and synonymous rates), and positive selection (non-synonymous rates is higher than synony-

mous rates), respectively (Anisimova & Kosiol, 2009; Yang, 1997, 2007). Codon models are useful to detect protein-coding sequences evolving under purifying or positive selection (Delport, Scheffler, & Seoighe, 2009).

Significant results (p-value < 0.05) of spore coat genes displaying either strong negative purifying or positive selection on different *Bacillus* groups are reported in Table 3.2. On the *Cereus* group, *cotH* has ten sites, *cotK/sspO* and *ysxE* have one site, *yheC* has three sites, *yheD* has five sites, and *yutH-cotNH* has thirteen sites under positive selection according to MEME. Furthermore, *cwlJ* and *gerQ* are positively selected along the entire gene sequence according to BUSTED and have two and one sites under positive selection, respectively detected by MEME.

On the other hand, the *Coagulans* group present four genes under negative purifying selection (*cotE*, *gerPE*, *gerQ*, *spoVM*, and *yhbA-queG*) according to the summary statistic Tajima's D. On the contrary, *ysxE* has two sites under positive selection according to MEME. *gerQ* is negatively selected according to Tajima's D whereas MEME detects one positively selected site. This site can be positively selected because it is the active or interaction site of the GerQ spore coat protein.

The *Halodurans* group, show the spore coat genes *gerQ* and *yhbA/queG* under positive selection with five and three sites, respectively according to MEME. The *Megaterium* group, contains three significant negatively selected spore coat genes *gerQ*, *yhcN*, *yutH/cotNH* according to Tajima's D and one spore coat gene *ysxE* with two sites evolving under positive selection. Nonetheless, *gerPE* appear to be significant for both Tajima's D and MEME, which is contradictory.

On the *Methanolicus* group there are four spore coat genes (*cotE*, *gerPE*, *ysxE*, *yutH/cotNH*) with one site evolving under positive selection according to MEME and three spore coat genes (*cotJA*, *cotJB*, *spoIVA*, *yhcN*) under negative purifying selection according to Tajima's D. On one hand, *spoVID* seems to be under a positive selection along the entire gene sequences according to BUSTED and with three positively selected sites according to MEME. On the other hand, Tajima's D gives a significant negative value for *spoVID* indicating negative purifying selection. This could be a false positive detected by Tajima's D. However, we cannot draw any conclusions for *spoVID* due to the contradiction of the methods.



In the Pumilus group, *cotE*, *gerPC*, *gerPE*, *gerQ*, *yhbA/queG*, *yhcN*, and *ysxE* are under positive selection with two, four, six, one, four, five and ten sites respectively. On the Subtilis group *cotE*, *gerPE*, *gerQ*, *gerT*, *safA*, *yhbA/queG*, *yheC*, and *ysxE* are positively selected in one or two sites, according to MEME. Moreover, *gerQ* is under positive selection along its entire gene sequence according to BUSTED. Overall,  $\omega$  ratios calculated branch and site models in CodeML are not able to detect positive or negative selection acting on the spore coat genes of Table 4 since all the values are indicating neutral selection.

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups

Coat gene	Summary statistics				
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS (Site models)
Cereus group					
cotH	-0.69763	1	10*	0.07182	MI: Nearly neutral 0.1028 M7: $\beta$ distribution 0.0962
cotK/sspO	0.28136	0.262	1*	0.09271	MI: Nearly neutral 0.1123 M7: $\beta$ distribution 0.1034

$\gamma$ p-value provided by BUSTED. A p-value  $< 0.05$  indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites under positive selection by MEME

\*Significant at a p-value  $< 0.05$

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
cwIJ	0.09189	0*	2*	0.05187		M1: Nearly neutral
						0.0794
						M7: $\beta$
						distribution
						0.0571
gerQ	-0.88202	0.007*	1*	0.07437		M1: Nearly neutral
						0.1527
						M7: $\beta$
						distribution
						0.0795

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
yheC	-0.47554	1	3*	0.09094		M1: Nearly neutral 0.0984 M7: $\beta$ distribution 0.0957
yheD	-0.43883	1	5*	0.08993		M1: Nearly neutral 0.0971 M7: $\beta$ distribution 0.0941

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	
ysxE	-0.97925	1	1*	0.09371	M1: Nearly neutral 0.1166 M7: $\beta$ distribution 0.0995
yutH/cotNH	-0.54963	0.857	13*	0.11605	M1: Nearly neutral 0.1698 M7: $\beta$ distribution 0.1318
Coagulans group					

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites under positive selection by MEME

\*Significant at a p-value < 0.05

dN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS (Site models)
cotE	-1.37084*	1	0	0.12021	M1: Nearly neutral
					0.2828 M7: $\beta$ distribution
gerPE	-1.34236*	0.963	0	0.14292	0.1708 M1: Nearly neutral
					0.2615 M7: $\beta$ distribution
					0.1778

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
gerQ	-1.21128*	0.328	1*	0.08630		M1: Nearly neutral 0.4039 M7: $\beta$ distribution 0.1833
spoVM	-1.12118*	1	0	NA $\epsilon$		NA $\epsilon$ M1: Nearly neutral 0.2047 M7: $\beta$ distribution 0.1083
yhbA/queG	-1.32171*	0.868	0	0.06442		

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites under positive selection by MEME

\*Significant at a p-value < 0.05

$\epsilon$ dN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS (Site models)
ysxE	-1.23355	0.188	2*	0.02038	M1: Nearly neutral
					0.1265
					M7: $\beta$ distribution
					0.0675
Halodurans group					
gerQ	-0.56024	0.332	5*	0.10751	M1: Nearly neutral
					0.3004
					M7: $\beta$ distribution
					0.1627

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Nnumber of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size



Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
yhbA/queG	-1.16799	1	3*	0.06531		M1: Nearly neutral 0.1854 M7: $\beta$ distribution 0.0853
Megaterium group						
gerPE	-1.06119*	0.94	1*	0.09876		M1: Nearly neutral 0.5707 M7: $\beta$ distribution 0.1188

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Nnumber of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	
gerQ	-0.90984*	1	0	0.07461	M1: Nearly neutral 0.2540 M7: $\beta$ distribution 0.1193
yhcN	-1.02560*	1	0	0.11648	M1: Nearly neutral 0.1590 M7: $\beta$ distribution 0.1368

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	
ysxE	-0.74173	1	2*	0.09351	M1: Nearly neutral 0.1689 M7: $\beta$ distribution 0.1026
yutH/cotNH	-0.99407*	1	0	0.04157	M1: Nearly neutral 0.1355 M7: $\beta$ distribution 0.0509
Methanolicus group					

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites under positive selection by MEME

\*Significant at a p-value < 0.05

dN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
cotE	-1.03037	0.909	1*	0.10079		M1: Nearly neutral
						0.1793 M7: $\beta$ distribution
cotJA	-1.02802*	0.544	0	0.15234		0.1162 M1: Nearly neutral
						0.3805 M7: $\beta$ distribution
						0.2220

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
cotJB	-1.36531*	0.769	0	0.07999		M1: Nearly neutral
						0.1289
						M7: $\beta$ distribution
						0.0960
						M1: Nearly neutral
gerPE	-1.2526	0.988	1*	0.11502		0.2912
						M7: $\beta$ distribution
						0.1685

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS (Site models)
spoIVA	-1.17678*	1	0	0.02073	M1: Nearly neutral 0.0320 M7: $\beta$ distribution 0.0230
spoVID	-1.33519*	0.009*	3*	0.13830	M1: Nearly neutral 0.3608 M7: $\beta$ distribution 0.2175

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS	
yhcn	-1.26681*	1	0	0.17294		M1: Nearly neutral 0.3185 M7: $\beta$ distribution 0.2264
ysxE	-1.10637	0.229	1*	0.09149		M1: Nearly neutral 0.1956 M7: $\beta$ distribution 0.1123

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS (Site models)
yutH/cotNH	-1.14348	1	1*	0.13182	M1: Nearly neutral 0.3628 M7: $\beta$ distribution 0.1879
Pumilus group					
cotE	-0.36331	1	2*	NA $\epsilon$	NA $\epsilon$

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Nnumber of significant sites under positive selection by MEME

\*Significant at a p-value < 0.05

$\epsilon$ dN/dS values could not be computed in CodeML due to small branch size



Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS (Site models)
gerPC	-0.34568	1	4*	0.05307	M1: Nearly neutral 0.0564 M7: $\beta$ distribution 0.0551
gerPE	-0.6854	0.075	6*	0.07784	M1: Nearly neutral 0.0804 M7: $\beta$ distribution 0.0812

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene

$\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
gerQ	-0.71509	0.711	1*	0.03936		M1: Nearly neutral 0.0379 M7: $\beta$ distribution 0.0419
yhbA/queG	-0.62315	1	4*	0.03740		M1: Nearly neutral 0.0428 M7: $\beta$ distribution 0.0415

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eDn/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	
yhcn	-0.47643	1	5*	0.08450	M1: Nearly neutral 0.0858 M7: $\beta$ distribution 0.0873
ysxE	-0.43205	0.499	10*	0.05727	M1: Nearly neutral 0.0679 M7: $\beta$ distribution 0.0604
Subtilis group					

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites under positive selection by MEME

\*Significant at a p-value < 0.05

dN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	dN/dS	
cotE	0.10466	0.261	1*	NA $^\epsilon$	NA $^\epsilon$	MI: Nearly neutral
gerPE	-0.3031	1	2*	0.16190	0.2413	M7: $\beta$ distribution
gerQ	0.09547	0.001*	2*	NA $^\epsilon$	0.1826	NA $^\epsilon$

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Nnumber of significant sites under positive selection by MEME

\*Significant at a p-value < 0.05

$\epsilon$ dN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics					dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)		
gerT	-0.44083	0.053	1*	0.12826		M1: Nearly neutral 0.2203 M7: $\beta$ distribution 0.1609
safA	1.55523	0.394	1*	0.11156		M1: Nearly neutral 0.1423 M7: $\beta$ distribution 0.1359
yhbA/queG	-0.18729	0.687	1*	NA $\epsilon$		NA $\epsilon$

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites under positive selection by MEME

\*Significant at a p-value < 0.05

$\epsilon$ dN/dS values could not be computed in CodeML due to small branch size

Table 3.2 Five summary statistics (Tajima's D, BUSTED, MEME, dN/dS (branch and site models) showing strong negative purifying selection, neutral, and positive selection across different *Bacillus* groups (Continued)

Coat gene	Summary statistics				dN/dS (Site models)
	Tajima's D	BUSTED $\gamma$	MEME $\delta$	dN/dS (Branch model)	
yheC	-0.66537	0.649	1*	0.07152	M1: Nearly neutral 0.1145 M7: $\beta$ distribution 0.0776
ysxE	-0.20345	0.072	1*	0.13384	M1: Nearly neutral 0.2159 M7: $\beta$ distribution 0.1503

$\gamma$ p-value provided by BUSTED. A p-value < 0.05 indicates evidence of positive selection of the gene  
 $\delta$ Number of significant sites sites under positive selection by MEME

\*Significant at a p-value < 0.05

eN/dS values could not be computed in CodeML due to small branch size

### 3.3 Horizontal gene transfer

Studies from comparative genomics have demonstrated two broadly classes of genes: core genes (widely distributed across different lineages and encode central function in the cell) and accessory genes (encode taxa-specific functions and are loosely distributed across lineages) (Andersson, 2009). Genes that have been transferred between closely related species might be selectively neutral in the recipient organism (Gogarten & Townsend, 2005; Soucy, Huang, & Gogarten, 2015). Nonetheless, a transferred gene must provide a beneficial advantage to itself or the recipient to survive in the recipient lineage over generations (Soucy et al., 2015). HGT events can occur in prokaryotes and eukaryotes (Andersson, 2009; Zhaxybayeva & Doolittle, 2011). However, HGT events and why this happens are far better understood in prokaryotes (Zhaxybayeva & Doolittle, 2011). HGT does not follow the typical pattern of vertical descent and derives in phylogenetic incongruences, which are helpful to detect HGT events (Zhaxybayeva & Doolittle, 2011). Besides, traces of the mechanism of transfer, such as independently replicating plasmids or mobile genetic elements may confirm HGT events in prokaryotes (Zhaxybayeva & Doolittle, 2011).

Notung employs a duplication-transfer-loss (DTL) event model that minimizes transfers with duplication and losses (i.e. DTL score) and infers all most parsimonious event histories. Furthermore, Notung analyzes all event histories for temporal feasibility (Darby et al., 2017; Durand et al., 2006; Stolzer et al., 2012; Vernot et al., 2008). In Notung, transfers are represented by a yellow node and an edge while duplications are represented by a red circle on a node. Green circles on nodes represents the existence of multiple optimal solutions.

In prokaryotes, key mediators of HGT events are conjugative plasmids, integrated prophages, integrative transposons, GEIs (genomic islands are blocks of DNA with signature of genetic mobile elements), and other unclassified elements (Bellanger, Payot, Leblond-Bourget, & Guédon, 2014; Burrus, Pavlovic, Decaris, & Guédon, 2002; Hacker & Carniel, 2001). Together, these elements contribute to the prokaryotic horizontal gene pool (HGP) (Hacker & Carniel, 2001; Osborn & Böltner, 2002). Several authors suggest that GEIs help in microbial evolution and adaptation and are strongly selected for adaptive and auxiliary functions (Dobrindt et al., 2000; Hacker & Carniel, 2001; Hacker & Kaper, 2000; Juhas et al., 2009).

Spore coat genes that displayed evidence of HGT as reconciled phylogenetic trees are shown in Figures 3.3, 3.4, 3.5, 3.6, 3.7, and 3.8 for the *Cereus*, Coagulans, Halodurans, Methanolicus, Pumilus, and Subtilis groups, respectively. Only one optimal solution is shown below.

The *Cereus* group has sixteen spore coat genes that have undergone HGT events (Figure 3.3), according to Notung. For instance, *cotE* (a) has probably been acquired from *B. mobilis* to *B. anthracis* or vice versa. *cotJA* (b) has probably been acquired from *B. cytotoxicus* to the paraphyletic group comprised by *B. anthracis*, *B. cereus*, *B. mobilis*, and *B. thuringiensis*. *cotJC* (c) present two subsequent HGT events, from *B. cereus* to *B. anthracis* and *B. thuringiensis*. *cotK-sspO* (d) has probably been laterally transferred from *B. anthracis* to *B. bombysepticus*. *cotS* (e) and *gerPA* (f) have been transferred from *B. thuringiensis* to *B. cytotoxicus* and *B. anthracis*, respectively. *gerPB* (g) suffered two probable subsequent HGT events from *B. toyonensis* and *B. mobilis* to *B. thuringiensis* and *B. anthracis*. *gerPC* (h) presents three probable HGT events from *B. toyonensis* to the group of *B. mobilis*, *B. anthracis*, and *B. thuringiensis* and from *B. cytotoxicus* to *B. pseudomycoides*. *gerPE* (i) and *gerQ* (k) have three probable HGT events, from *B. cytotoxicus* and from *B. pseudomycoides*, respectively. *gerPF* (j) has been probably transferred from *B. mobilis* to the common ancestor of *B. thuringiensis* and *B. anthracis*. *spoIVA* (l) has probably been transferred from *B. pseudomycoides* to *B. cytotoxicus*. *yhbA-queG* (m) has suffered one lateral transfer from *B. anthracis* to *B. mobilis* and one duplication event (red node). *yhcN* (n), *ysxE* (p) and *yutH-cotNH* (q) has probably been transferred from the common ancestor of *B. anthracis* and *B. mobilis* to *B. toyonensis* and from *B. mobilis* to *B. anthracis*. *yheD* has been transferred from *B. thuringiensis* to *B. anthracis*.



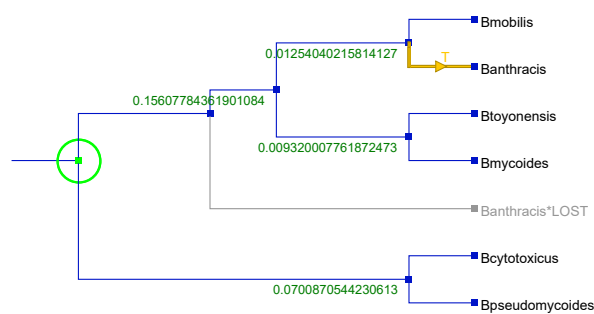
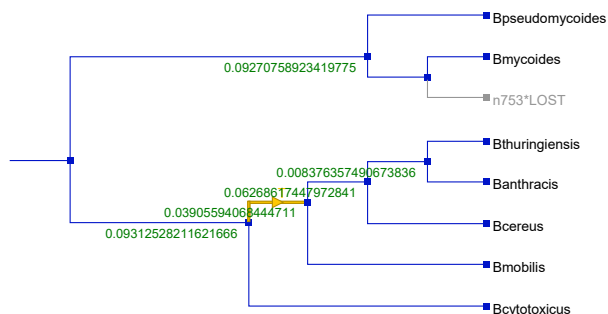
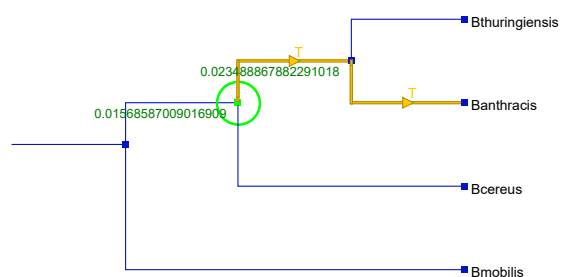
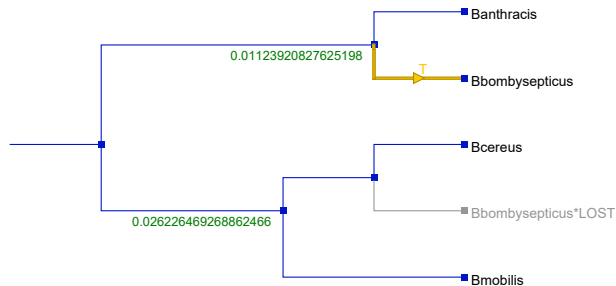
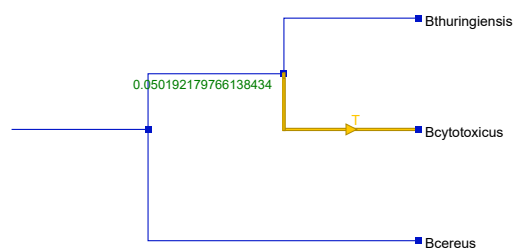
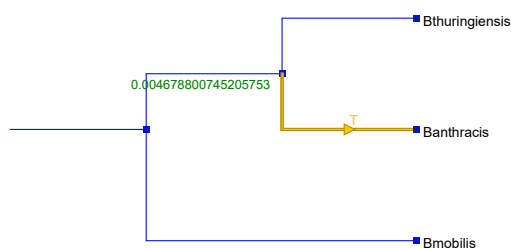
(a) *cotE*(b) *cotJA*(c) *cotJC*(d) *cotK-sspO*(e) *cotS*(f) *gerPA*

Figure 3.3. Reconciled phylogenetic trees between gene and species trees displaying HGT events of coat genes across different genomes of the *Cereus* group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.

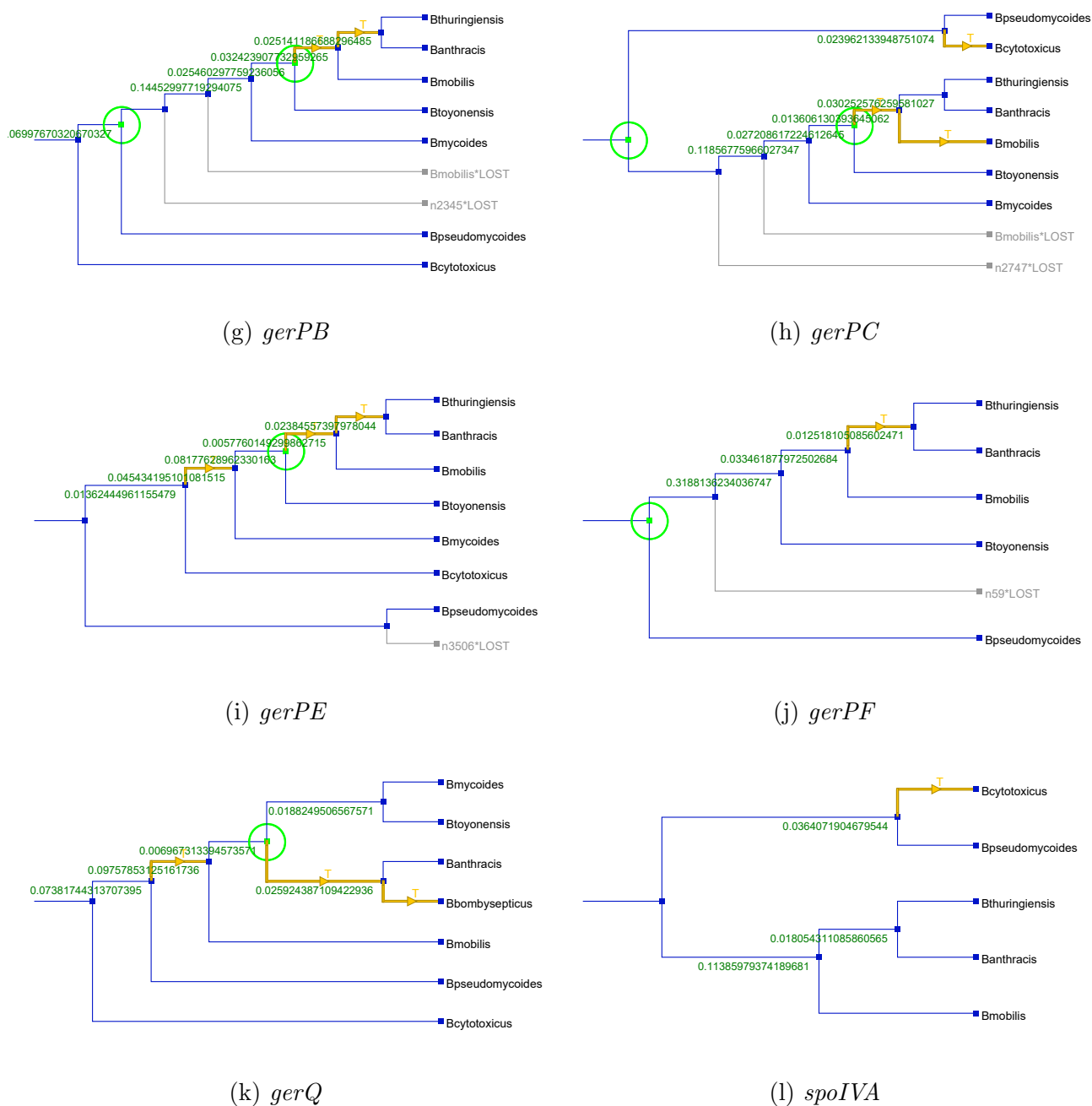


Figure 3.3. Reconciled phylogenetic trees between gene and species trees displaying HGT events of coat genes across different genomes of the *Cereus* group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.

(Continued)

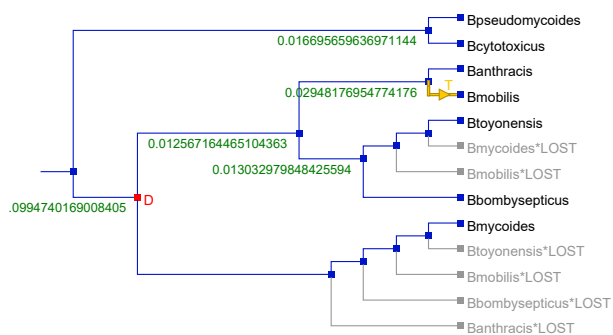
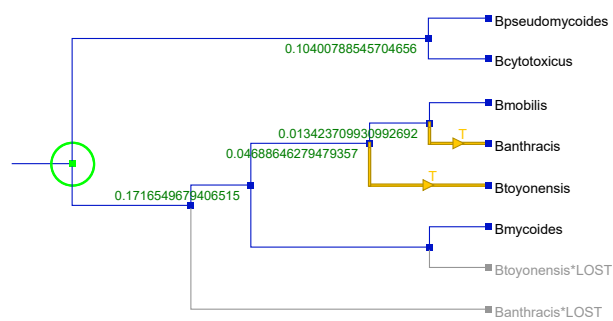
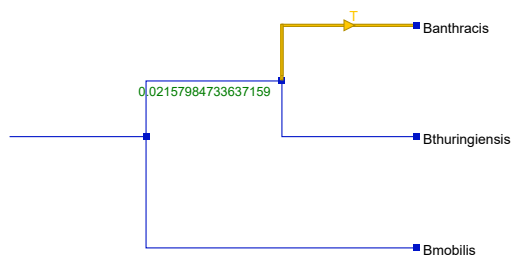
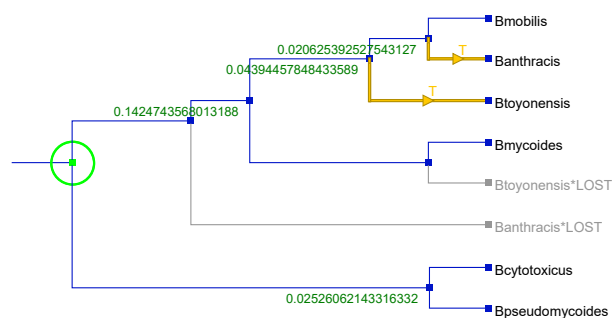
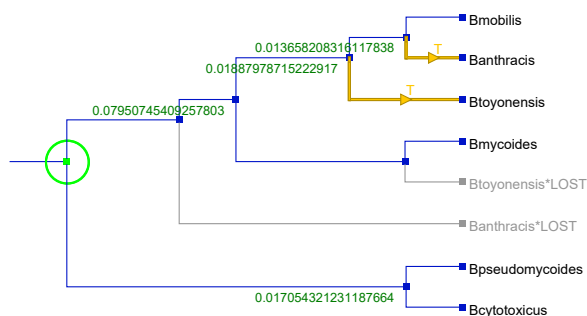
(m) *yhbA-queG*(n) *yhcN*(o) *yheD*(p) *ysxE*(q) *yutH-cotNH*

Figure 3.3. Reconciled phylogenetic trees between gene and species trees displaying HGT events of coat genes across different genomes of the *Cereus* group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.

(Continued)

The Coagulans group has one probable HGT event for *gerQ* from *B. thermoamylovorans* to *B. coagulans*, see Figure 3.4. The Halodurans group presents two probable HGT events for *yhbA-queG*, see Figure 3.5. The first one, from the common ancestor of *B. pseudofirmus*, *B. halodurans*, and *B. krulwichiae* to *B. cellulosityticus*. The second, from *B. krulwichiae* to *B. halodurans*. The Megaterium group does not present HGT events.

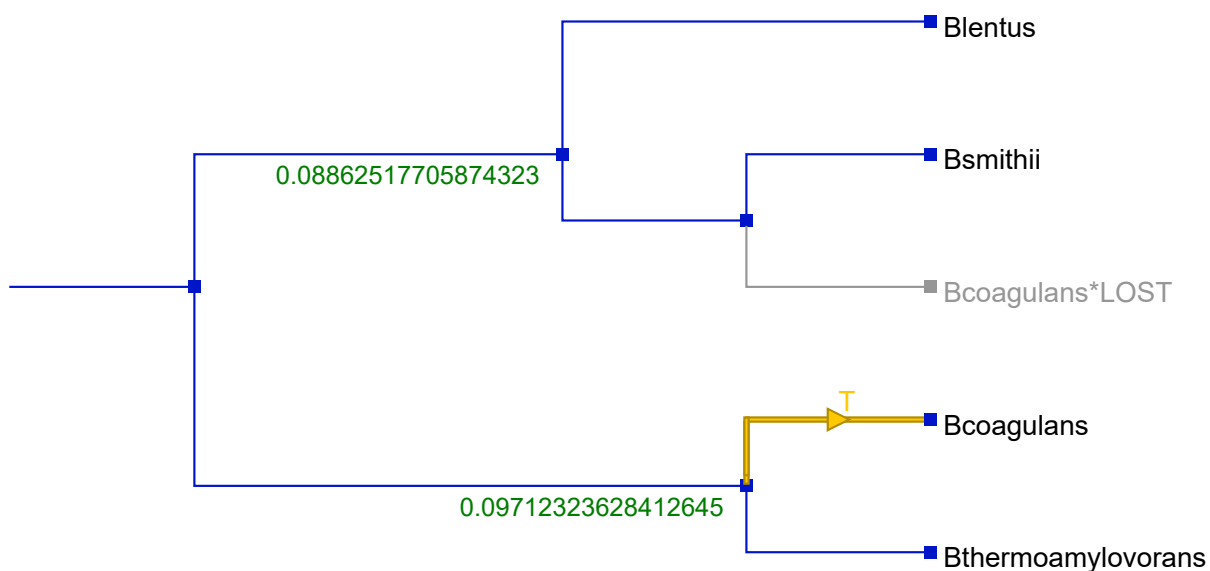


Figure 3.4. Reconciled phylogenetic tree between gene and species trees displaying HGT events of *gerQ* across different genomes of the Coagulans group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.

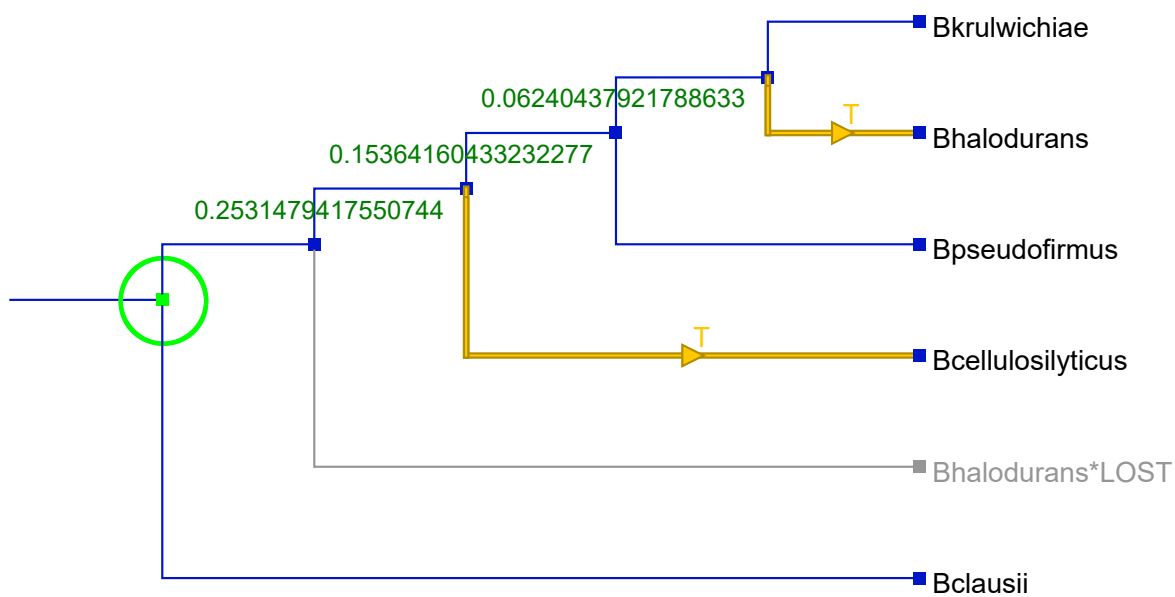


Figure 3.5. Reconciled phylogenetic tree between gene and species trees displaying HGT events of *yhbA-queG* across different genomes of the Halodurans group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.

The Methanolicus group has four spore coat genes that have undergone HGT events, see Figure 3.6. *gerPE* (a), from *B. methanolicus* to *B. oceanisediminis*. *yhcN* (b) presents two probable HGT events, from the common ancestor of *B. foraminis*, *B. oceanisediminis*, and *B. methanolicus* to *B. circulans* and from *B. methanolicus* to *B. oceanisediminis*. *ysxE* (c) has been probably transferred to *B. methanolicus* to the common ancestor of *B. circulans*, *B. oceanisediminis*, and *B. jeotgali*.

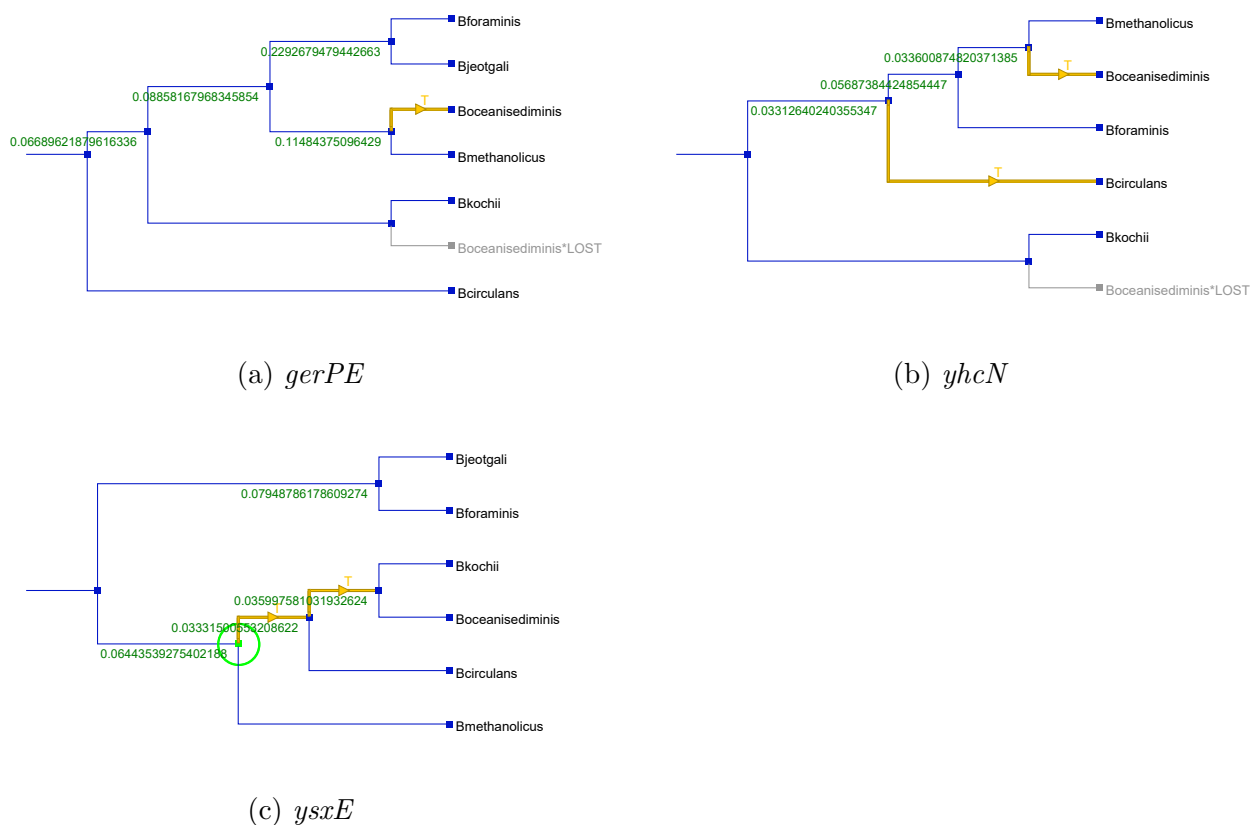


Figure 3.6. Reconciled phylogenetic trees between gene and species trees displaying HGT events of coat genes across different genomes of the Methanolicus group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.

Figure 3.7, shows two spore coat genes that have undergone HGT in the Pumilus group. *gerPC* (a) has been transferred from *B. altitudinis* to *B. pumilus* and *yhcN* (b) from *B. safensis* to *B. altitudinis*. The Subtilis group has two spore coat genes under HGT events, see Figure 3.8. *gerPC* (a) has been probably transferred from the common ancestor of *B. velezensis* and *B. vallismortis* to *B. siamensis*. *yhcN* (b) has probably been transferred from *B. halotolerans* to *B. atrophaeus*. All these HGT events are further confirmed by ICEs using WU-BLAST2 of the web server ICEBerg, see Table 5. Nevertheless, the GIPSY software shows that spore coat genes are not present on GEIs.

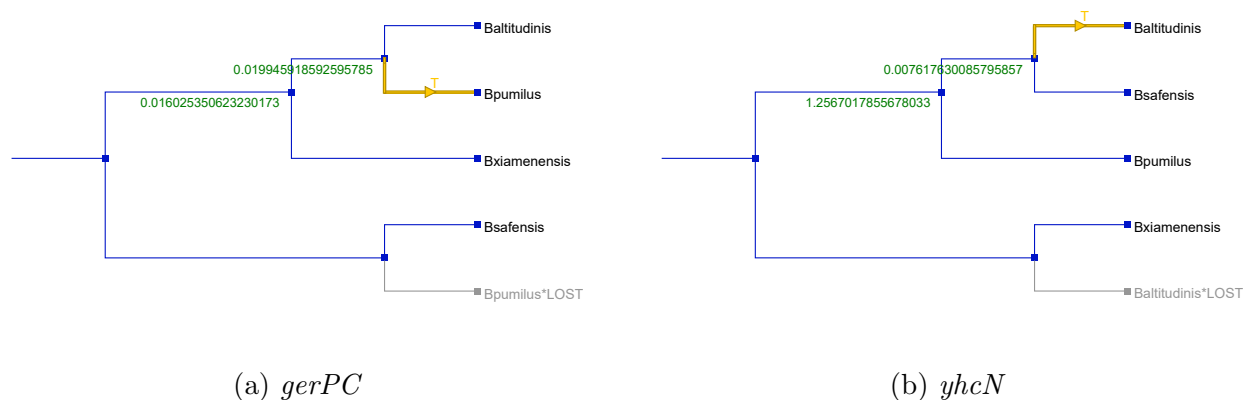


Figure 3.7. Reconciled phylogenetic trees between gene and species trees displaying HGT events of coat genes across different genomes of the Pumilus group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.

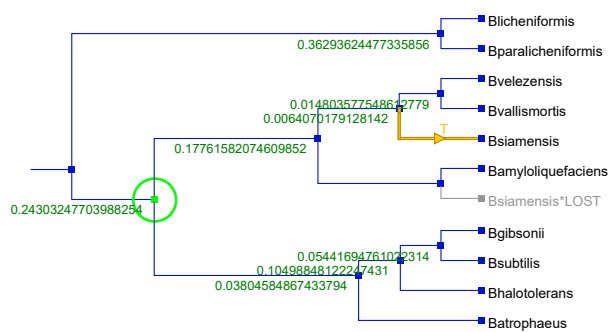
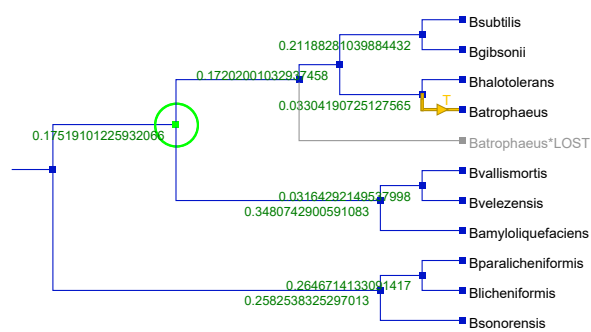
(a) *gerPC*(b) *yhcN*

Figure 3.8. Reconciled phylogenetic trees between gene and species trees displaying HGT events of coat genes across different genomes of the Subtilis group, according to Notung DTL models. HGT events are represented with a yellow node and an edge for the recipient species.



Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
Cereus group				
<i>B. anthracis</i>	<i>cotE</i>	3580099-3580641	3566099-3591641	Clostridium perfringens plasmid pJIR2774 insertion sequence tISCpe8 transposase (tnp) and lincosamide nucleotidyltransferase genes (FJ589781)
<i>B. anthracis</i>		821562-821777	812562-829500	Enterococcus faecium
<i>B. cereus</i>	<i>cotJA</i>	806934-807149	797934-814900	transfer chromosomal element, MM5-F9a (HE963029)
<i>B. mobilis</i>		858664-858879	849664-866879	
<i>B. thuringiensis</i>		819912-820127	810912-827127	

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. anthracis</i>	<i>cotJC</i>	820708-821277	809708-826277	Enterococcus faecium MM5-F9a transfer chromosomal element, (HE963029) Streptococcus agalactiae 2584 DDE transposase UPF0236 family (KC492042)

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. thuringiensis</i>				Enterococcus faecium MM5-F9a
				transfer chromosomal element, (HE963029)
				Streptococcus agalactiae 2584
				DDEmtransposase UPF0236 family (KC492042)
		819058-819627	808400-824400	Streptococcus pneumoniae DP1322
				conjugative transposon Tn5253 (EU351020)
				Streptococcus pneumoniae SpnA213
				partial Tn5253-like transposon (FM201786 )
				Streptococcus pneumoniae ATCC700669 (FM211187)
	<i>B. bombysepticus</i>	<i>cotK-sspO</i>	3342593-3342742	333593-3350742

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. cytotoxicus</i>	<i>cotS</i>	2182130-2183194	2173130-2193565	Vibrio alginolyticus HN437 transposon integrating conjugative element ICEValHN437 (KT072771)
<i>B. anthracis</i>	<i>gerPA</i>	1121477-1121698	1110809-1132132	Mesorhizobium loti R7A symbiosis island (AL672115; AL672114; AL672113; AL672112 )
<i>B. anthracis</i>		1121256-1121462	1109954-1130625	Mesorhizobium loti R7A symbiosis island
<i>B. mobilis</i>	<i>gerpPB</i>	1172297-1172503	1161632-1181787	
<i>B. thuringiensis</i>		1143593-1143745	1132413-1152913	(AL672115; AL672114; AL672113; AL672112 )
<i>B. anthracis</i>		1120575-1121189	1109487-1129099	Bordetella petrii DSM12804 ( AM902716), region( 1350129..1493558)
<i>B. cytotoxicus</i>	<i>gerPC</i>	983499-984119	970890-993293	
<i>B. mobilis</i>		1171614-1172228	1160870-1180261	
<i>B. thuringiensis</i>		1142857-1143471	1131811-1151387	
<i>B. anthracis</i>		1119972-1120358	1108881-1125865	Lactococcus lactis KF147 (CP001834) region(2295682..2347036)
<i>B. mobilis</i>	<i>gerPE</i>	1171011-1171397	1159870-1176907	NA

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. mycoides</i>		4595797-4596183	4590293-4608219	NA
<i>B. toyonensi</i>		3718076-3718462	3706829-3723971	Lactococcus lactis KF147 (CP001834) region(2295682..2347036)
<i>B. thuringiensis</i>		1142254-1142640	1128584-1148154	Bacteroides fragilis 86-5443-2-2 conjugative transposon CTn86 ( AY372755; AY375536)
<i>B. anthracis</i>	<i>gerPF</i>	1119714-1119929	1107741-1125252	Lactococcus lactis KF147 (CP001834) region(2295682..2347036)
<i>B. thuringiensis</i>		1141996-1142211	1127593-1147541	Bacteroides fragilis 86-5443-2-2 conjugative transposon CTn86 ( AY372755; AY375536)
<i>B. anthracis</i>		5126358-5126795	5117024-5134933	Clostridium difficile 630
<i>B. bombysepticus</i>		5042319-5042747	5032821-5050877	( AM180355) region(428851..453332)
<i>B. mobilis</i>	<i>gerQ</i>	5355062-5355490	5344998-5363855	Clostridium difficile CII7
<i>B. mycoides</i>		596427-596855	588805-606331	Tn6194-like conjugative transposon
<i>B. toyonensi</i>		2518784-2519212	2509763-2527422	(HG475346)

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. cytotoxicus</i>	<i>spoIVA</i>	1342603-1344081	1334855-1353902	Mesorhizobium loti MAFF303099, ( BA000012) region(4643427..5255769)
				Finergoldia magna ATCC 29328 (AP008971), region(1002528..1069524)
				Clostridium difficile 630, (AM180355), region(2137789..2181291)
<i>B. mobilis</i>	<i>yhbA-queG</i>	550034-551176	543713-569062	Streptococcus equi 4047, (FM204883), region(1206317..1269371)
				Mycoplasma bovis PG45 MU clone A2, (CP002188), region(210737..248144)
<i>B. anthracis</i>		2560329-2560925	2551657-2568862	Streptococcus equi 4047, (FM204883), region(1206317..1269371)
<i>B. mobilis</i>	<i>yhcN</i>	2812161-2812757	2804034-2820837	NA
<i>B. toyonensis</i>		48613-49209	40021-57668	NA

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. anthracis</i>	<i>yheD</i>	866934-868394	859264-877366	Mesorhizobium loti R7A
				symbiosis island,
				(AL672115; AL672114; AL672113; AL672112)
				Fingoldia magna ATCC 29328,
				(AP008971), region(1002528..1069524)
<i>B. anthracis</i>				Clostridium difficile 630,
				(AM180355), region( 480597..520127)
				Proteus mirabilis HI4320,
				(AM942759), region(2793762..2886224)
				Streptococcus equi 4047,
<i>B. anthracis</i>				(FM204883), region( 1206317..1269371)
				Vibrio alginolyticus HN437
				transposon integrating conjugative
<i>B. mobilis</i>	<i>ysxE</i>	4476574-4477599	4466142-4486906	
<i>B. toyonensis</i>		1660842-1661867	1650352-1671268	element ICEValHN437, (KT072771 )

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. anthracis</i>		4711811-4712812	4703947-4719574	Streptococcus pneumoniae TIGR4 (AE00567), region(972255..1000373)
	<i>yutH-cotNH</i>			Streptococcus pneumoniae PN1 transposon ICESpPN1, (KC488257)
<i>B. mobilis</i>		4923721-4924722	4917335-4934447	Fingoldia magna ATCC29328 (AP008971), region(1002528..1069524)
				Enterococcus faecium transfer chromosomal element, (HE963029) Streptococcus equi 4047, ( FM204883), region(1206317..1269371)
				Streptococcus pneumoniae DP1322 conjugative transposon Tn5253, (EU351020)

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001



Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. toyonensis</i>		2116161-2117162	2110191-2125720	Streptococcus pneumoniae TIGR4 (AE00567), region(972255..1000373)
				Streptococcus pneumoniae PN1 transposon ICESpPN1, (KC488257)
Coagulans group				
<i>B. coagulans</i>	<i>gerQ</i>	3082-3531	NZ_ATVM01000123	Cupriavidus metallidurans CH34 (CP000352), region(1585489..1682531)
				Clostridium perfringens plasmid pJIR4150, (LN835295), region(52023..84499)
Halodurans group				

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. cellulosilyticus</i>		1529457-1530596	1518291-1543013	Bacteroides fragilis
				conjugative transposon CTn341, (AY515263)
<i>B. halodurans</i>	<i>yhbA-queG</i>	1100040-1101215	1088909-1112360	Streptococcus equi subsp. zooepidemicus H70, (FM204884), region(1399879..1447451)
				Enterococcus faecium D344R transposon Tn5386, (DQ321786)
<i>B. krulwichiae</i>		709582-710721	698822-721478	Streptococcus pneumoniae TIGR4 (AE005672), region(972255..1000373)
				NA
<i>B. pseudofirmus</i>		637661-638818	627135-650159	Mesorhizobium loti MAFF303099 ( BA000012), region(4643427..5255769)
				Mesorhizobium loti R7A symbiosis island (AL672115; AL672114; AL672113; AL672112)

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
			Methanolicus group	
<i>B. oceanisediminis</i>	<i>gerPE</i>	1404524-1404922	1391304-1414990	Bordetella petrii DSM 12804 (AM902716), region(1350129..1493558, 2250540..2297731) Acidithiobacillus caldus ATCC 51756, (CP005986), region(1803307..1980282) Streptococcus intermedius transposon Tn916S tetracycline resistance protein (AY534326) Enterococcus casseliflavus 664.1H1 conjugative transposon Tn6000, (FN555436 ) Bacteroides fragilis HMW 615 transposon CTnHyb, (KJ816753) Bacteroides fragilis conjugative transposon CTn341, (AY515263)
<i>B. circulans</i>		621937-622545	613226-631551	
	<i>yhcN</i>			
<i>B. foraminis</i>		324412-325119	314019-332292	

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. methanolicus</i>		1669879-1670409	1660167-1680217	Acidithiobacillus caldus SM-1
				(NC_015850), region(115486..212210)
				Streptococcus agalactiae 2603V/R,
				(AE009948), region(1962397..2005294)
<i>B. oceanisediminis</i>				Vibrio alginolyticus A056
		2562095-2562649	2550776-2572954	transposon ICEValA056-2, (KR231689)
				Streptococcus pneumoniae
				Tn5252 like transposon, (FM178797)
<i>B. circulans</i>		2244158-2245210	2229203-2255470	Streptococcus pyogenes
	<i>ysxE</i>			integrative conjugative element ICESp1108, (FR691054), region(1694..47149)

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>μ</sup>	ICEberg WU-BLAST2*
<i>B. kochii</i>		3322545-3323612	3312533-3336376	Streptococcus pneumoniae integrative and conjugative element ICESpn529IQ, (HG965092), region (1..59466) Streptococcus pneumoniae DP1322 conjugative transposon Tn5253, (EU351020 ) Mesorhizobium loti R7A symbiosis island (AL672115; AL672114; AL672113; AL672112)
<i>B. oceanisediminis</i>		4318702-4319760	4307085-4330368	
Pumilus group				
<i>B. pumilus</i>	<i>gerPC</i>	1112115-1112729	1097904-1121324	Bordetella petrii DSM12804 (AM902716), region(1350129..1493558)
<i>B. altitudinis</i>	<i>yhcN</i>	904667-905173	897238-918346	Lactococcus lactis KF147 (CP001834), region(2295682..2347036)
Subtilis group				

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>μ</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

Table 3.3 Coat genes that have undergone HGT events and its associated scanned genome regions with WU-BLAST2 for ICEs element (Continued)

Species under HGT	Coat gene	Genome location <sup>λ</sup>	Region scanned <sup>#</sup>	ICEberg WU-BLAST2*
<i>B. siamensis</i>	<i>gerPC</i>	3190461-3191078	3182933-3205503	Bordetella petrii DSM12804 (AM902716), region(1350129..1493558)
<i>B. atrophaeus</i>	<i>yhcn</i>	1017478-1018062	1009284-1032112	Lactococcus lactis KF147 (CP001834), region(2295682..2347036)

<sup>λ</sup>Location of coat gene in the genome of the species under HGT

<sup>#</sup>Scanned region, approximately 10 genes upstream and downstream from the coat gene. Used for WU-BLAST2 in ICEberg

\*Hits significant at p-value < 0.001

## CHAPTER 4. DISCUSSION

In this work, we reported the existence of several spore coat protein homologs across one hundred forty-one genomes of spore-forming species of the *Bacillales* order. Our results show that a significant majority of the ninety-seven spore coat proteins are highly conserved among the forty genomes of *Bacillus* genus (Figure 3.1). As reported by J. A. Castillo (personal communication, 2019) and NCBI taxonomy, *B. amyloliquefaciens*, *B. velezensis*, *B. atrophaeus*, *B. licheniformis*, *B. paralicheniformis*, *B. sonorensis*, *B. subtilis*, *B. vallismortis*, and *B. gibsonii* constitute a monophyletic group inside the *Bacillus* genus, known as the Subtilis group. Bacterial species closely related to *B. subtilis* have few phenotypic differences that distinguish them (Nakamura, Roberts, & Cohan, 1999; Roberts, Nakamura, & Cohan, 1994) but can be easily distinguished by fatty acid composition analysis, restriction digest analysis and DNA–DNA hybridization analysis (L.-T. Wang, Lee, Tai, & Kasai, 2007). *B. subtilis* grows in close association with decaying organic matter in the soil, plant root surfaces, and inside the gastrointestinal tract of terrestrial and marine organisms as probiotics (Earl, Losick, & Kolter, 2008; Siala, Hill, & Gray, 1974; Tam et al., 2006). However, highly resistant *B. subtilis* spores can be found in many aquatic and terrestrial environments because they can be carried away by wind across different environments (Earl et al., 2008). Hence, those environments may not reflect real ecological niches inhabited by vegetative cells (Earl et al., 2008).

Figure 3.1 shows that the Subtilis group possess the most conserved spore coat proteins compared to other *Bacillus* groups and non-*Bacillus* spore-forming species. Spore coat proteins, such as CotE, CotH, CotO, CotX, CotY, CotZ, SafA, SpoIVA, SpoVM, SpoVID (morphogenetic coat proteins), CotJA, CotJB, CotJC, LipC, YhaX, YheD, YjzB, YppG (basement layer), CotD, CotF, CwlJ, GerQ, OxdD, Tgl, YaaH, YhjR, YisY, YjqC, YsxE, YutH, YuzC, YxeE (inner layer), CotA, CotG, CotM, GerT, SpsB, SpsI, YknT, YlbD, YncD, YkzQ, YtdA (outer layer), CgeA, CgeB, CotV, CotW (crust), CotI, CotR, CotSA, GerPA, GerPB, GerPC, GerPD, GerPE, GerPF, YdhD, YhbB, YheC, YkvP, YkvQ, CotN, and YpzA (localization not determined) are

widely distributed among members of the Bacillus group. Thus, our results reveal a genomic signature of spore coat genes in the Subtilis group that confers spore resistance to different ecological niches, as previously demonstrated (Galperin, 2013; Galperin et al., 2012; Onyenwoke et al., 2004).

The Cereus group composed of *B. anthracis*, *B. bombysepticus*, *B. cereus*, *B. cytotoxicus*, *B. mycooides*, *B. thuringiensis*, and *B. toyonensis*. *B. cereus* is an aerobic to facultative anaerobic opportunistic pathogen that can survive in a diversity of habitats, such as low nutrient soil and the intestinal flora of various animals (Kotiranta et al., 2000; Stenfors Arnesen et al., 2008). The highly adhesive endospore of *B. cereus* allows it to attach to different types of food causing food-borne illnesses (Dierick et al., 2005; Stenfors Arnesen et al., 2008). *B. anthracis* is the etiological agent of anthrax, and it is an aerobic to facultative anaerobic gram positive bacteria. The entire life cycle of *B. anthracis* takes place within a mammalian host compared to other members of the Cereus group that share the same ecological niche (Mock & Fouet, 2001). *B. thuringiensis* is an insect pathogen that produces insecticidal protoxins during sporulation to exploit nutrient-rich insect larvae (Aronson & Shai, 2001; Zothansanga, Senthilkumar, & Gurusubramanian, 2016). *B. mycooides* is an aerobic spore-forming species that tend to form rhizoidal-shape colonies (Nakamura, 1998). *B. cytotoxicus* is a thermotolerant species compared to *B. mycooides* (Bağcıoğlu, Fricker, Johler, & Ehling-Schulz, 2019; Stevens et al., 2019).

Morphogenetic spore coat proteins are highly conserved in the Cereus group, except SpoVM and CotX involved in the spore cortex formation, spore assembly, spore encasement, and crust assembly (Driks & Eichenberger, 2016). Since coat assembly is a highly hierarchical process (Driks & Eichenberger, 2016), other morphogenetic proteins present with the same role, such as SpoIVA, CotY, CotZ may take the over the task. Thus, compensating the absence of SpoVM and CotX. Some spore coat proteins (YuzC, YybI, YeeK) that are part of the inner layer are absent. Moreover, several spore coat proteins present in the outer layer are absent despite the presence of the morphogenetic coat proteins SpoIVA and CotE.

*B. simplex* is a psychrophilic and soil bacterial species that can exert biocontrol activities in microbial pathogens (Abe, Koyama, Kawamura, & Koseki, 2019; Rosenberg et al., 2016;



Tatu, Clatici, & Cristea, 2016). *B. muralis* is a bacterial species closely related to *B. simplex* (J.-P. Wang et al., 2016). In both bacterial species that compose the Simplex group, several spore coat protein homologs of the crust, inner layer, and outer layer are absent. This is not surprising given the absence of the morphogenetic coat proteins CotO, CotY, CotZ that control those processes (Driks & Eichenberger, 2016).

*B. pumilus* is naturally found in the soil and the plant root systems of tobacco, pepper, cucumber, and tomato (Stepanov et al., 2016; Val-Calvo et al., 2019). Moreover, *B. pumilus* spores are highly resilient to radiation, desiccation, and hydrogen peroxide treatment (Stepanov et al., 2016). Likewise, spores of *B. altitudinis* are highly resistant to UV-light and H<sub>2</sub>O<sub>2</sub> due to the presence of the spore coat proteins CotA and YjqC (Y. Zhang et al., 2016). Despite some spore coat proteins of the outer layer and inner layer are absent in the Pumilus group, protein homologs for all the morphogenetic coat proteins are present. Thus, a proper assembly of the spore coat is highly conserved in this group, which is beneficial for the higher spore resilience previously reported (Stepanov et al., 2016; Y. Zhang et al., 2016).

*B. methanolicus* is methylotropic and obligate aerobic endospore-forming bacteria that can be used for methanol-based biotechnologies (Arfman et al., 1992; Carnicer, Vieira, Brautaset, Portais, & Heux, 2016). *B. oceanisediminis* is an aerobic bacterium isolated from marine sediments that closely related to *B. infantis*. Its spores are resistant to environmental stressors, such as H<sub>2</sub>O<sub>2</sub>, UV-radiation, heat, and space vacuum (Lee et al., 2012; J. Zhang et al., 2010). These bacterial species compose the Methanolicus group. Our results indicate that the morphogenetic coat proteins CotO, CotH, CotX, and other spore coat proteins of the crust and outer layer are absent in this group.

*B. coagulans* is a facultative anaerobic, nonpathogenic, lactic acid-producing, and probiotic agent that is capable of form endospores to survive extreme environmental conditions, such as high temperature and acidity (Konuray & Erginkaya, 2018; Saw et al., 2019). *B. smithii* can ferment carbon sources into lactate, and it is a close relative to *B. coagulans* (Bosma et al., 2016). Both species correspond to the Coagulans group and have been isolated from a wide variety of environments, such as the human gut and marine sediments (Bosma et al., 2015; Suitso et al., 2010). The morphogenetic coat proteins CotH, CotX, CotO, CotY, and CotZ responsible

for the assembly of the outer layer and the crust are absent in this group. As expected, several spore coat proteins of the outer layer dependent on CotH and CotO are also absent. Whereas proteins dependent on CotX, CotY, and CotZ are completely absent in this group. This implies that the crust may be absent in this group. Further studies are needed to confirm this idea.

*B. megaterium* is an endophytic and aerobic spore-forming species with a volume of 60  $\mu\text{m}^3$  that can be found in the soil, sediments, and dried food (Vary et al., 2007). Spores of this species have antioxidant activity and can be used as a probiotic product (Mazzoli et al., 2019). *B. flexus* is an aerobic bacterium used for the production of polyhydroxyalkanoates and as an arsenic transformer (Jebeli et al., 2017; Wagle, Dixit, & Vakil, 2019). *B. endophyticus* is an endophytic and aerobic spore-forming bacteria closely-related to *B. megaterium* (Reva, Smirnov, Pettersson, & Priest, 2002). The Megaterium group lacks the morphogenetic coat proteins CotH, CotX, CotY, and CotZ. Thus, we can expect that several spore coat proteins of the crust, inner and outer layers are absent, as confirmed by our results.

*B. halodurans* is an alkaliphilic bacterium species used for the production of alkaline enzymes (Takami & Horikoshi, 1999; Takami et al., 2000). The Halodurans group composed by *B. halodurans*, *B. cellulolyticus*, *B. clausii*, *B. krulwichiae*, *B. lehensis*, and *B. pseudofirmus* do not present the morphogenetic coat proteins responsible for the assembly of the outer coat and the crust. Hence, several spore coat protein homologs dependent on those morphogenetic proteins are also absent.

According to above described results, some *Bacillus* groups lack the morphogenetic coat proteins CotO and CotH. Several studies have reported that CotH and CotO are responsible for the assembly of the outer coat as minor players because they are CotE-controlled (Driks & Eichenberger, 2016; McKenney et al., 2013; McPherson et al., 2005; Zilhão et al., 1999). Although CotH and CotO mutants may have a disorganized outer coat, the major assembly step is carried out by CotE and CotE-dependent coat proteins (McPherson et al., 2005; Zilhão et al., 1999). Thus, our results confirm that assembly of the outer coat is highly diverse and among the *Bacillus* genus and reflect overlapping functions of spore coat proteins to adapt to specific morphologies in response to niche variation (Driks & Eichenberger, 2016; McKenney et al., 2013; McPherson et al., 2005; J. Zhang, Fitz-James, & Aronson, 1993; Zilhão et al., 1999).

The morphogenetic coat proteins CotX, CotY, and CotZ are collectively known as the insoluble fraction of the spore because they influence spore hydrophobicity and accessibility of germinants (Krajčiková, Lukáčová, Müllerová, Cutting, & Barák, 2009; J. Zhang et al., 1993). Moreover, they are responsible for crust assembly around the spore (Driks & Eichenberger, 2016; McKenney et al., 2010). CotX, CotY, and CotZ mutants have an incomplete outer coat but the resistance to heat or lysozyme is not affected (J. Zhang et al., 1993). Hence, the absence of these morphogenetic coat proteins and their dependent-proteins in various spore-forming species, reflect overlapping functions and a spore coat protein interaction network that is highly adapted to unique environmental conditions (Driks & Eichenberger, 2016; J. Zhang et al., 1993).

On the other hand, the morphogenetic coat proteins CotE, SpoIVA, SpoVM, SpoVID, and SafA are highly conserved among all spore-forming species. CotE controls the assembly of the outer coat layer and other coat proteins, designated as CotE-controlled proteins (Driks & Eichenberger, 2016; McKenney & Eichenberger, 2012). SafA has been found to interact with SpoVID in the early stages of coat assembly (Driks & Eichenberger, 2016; McKenney & Eichenberger, 2012; Ozin et al., 2000). Furthermore, previous studies report that SpoIVA and CotE, SpoVM, and SpoVID contribute to the formation of a spore coat scaffold during earlier stages of sporulation (Bauer et al., 1999; Driks & Eichenberger, 2016; McKenney & Eichenberger, 2012). Similarly, CotE-controlled proteins, such as CotSA and YaaH (Bauer et al., 1999; Driks & Eichenberger, 2016; McKenney & Eichenberger, 2012) are conserved in all spore-forming species analyzed in this study.

The SpoIVA-dependent proteins CotJA, CotJB, and CotJC are also ubiquitous among the one hundred forty-one spore-forming species analyzed in this study. These proteins are necessary for the assembly of the basement layer of the spore coat (Driks & Eichenberger, 2016; Henriques, Beall, Roland, & Moran, 1995; Seyler, Henriques, Ozin, & Moran, 1997). Spore coat proteins whose location is unknown but have a role in germination (allowing the passage of germinants) (Butzin et al., 2012; Driks & Eichenberger, 2016), such as the GerPA-GerPF proteins are well preserved in all spore-forming species addressed here. Another protein involved in germination and highly conserved is GerQ along with CwlJ (cell wall hydrolase). GerQ is

cross-linked in the inner layer of the spore coat and is necessary for the localization of CwlJ (Driks & Eichenberger, 2016; Monroe & Setlow, 2006; Ragkousi & Setlow, 2004). In species of the *Bacillus* genus, the spore coat protein Tgl responsible for the GerQ cross-linking (Driks & Eichenberger, 2016; Monroe & Setlow, 2006; Ragkousi & Setlow, 2004) is highly conserved. This is not true for non-bacillus spore-forming species.

Our results suggest that there is a well-conserved core of spore coat proteins, mainly composed of morphogenetic coat proteins and germinant coat proteins that are essential for spore assembly in early stages and germination. In addition, spore coat proteins that directly depend on these morphogenetic and germinant proteins are also preserved. Other minor spore coat proteins that may confer a unique spore morphology are not preserved since they are unique to a group of species that share a common ecological niche (Driks & Eichenberger, 2016; Galperin et al., 2012; McKenney et al., 2013; McPherson et al., 2005; Paredes-Sabja, Setlow, & Sarker, 2011; J. Zhang et al., 1993; Zilhão et al., 1999).

According to our natural selection results, the spore coat genes *cotH*, *cotK-sspO*, *cwlJ*, *gerQ*, *yheC*, *yheD*, *ysxE*, and *yutH-cotNH* are positively selected in the *Cereus* group. Only *cwlJ* and *gerQ* are positively selected along the entire gene sequence, whereas the remaining are positively selected in certain sites. Similarly, *spoVID* and *gerQ* are positively selected along the entire gene sequence in the *Methanolicus* and *Subtilis* group, respectively. On the other hand, *ysxE* has positively selected sites in the *Coagulans*, *Megaterium*, *Methanolicus*, *Pumilus*, and *Subtilis* groups. *yutH* has positively selected sites in the *Methanolicus* group and negatively selected sites in the *Megaterium* group.

Gene sequences encoding for morphogenetic coat proteins CotE, CotH, SafA, and SpoVID are positively selected in the *Cereus*, *Pumilus*, and *Subtilis* groups. These spore coat proteins are under positive selection either in specific sites or along the entire gene sequence, according to our analyses. Similarly, *gerQ*, *gerPC*, *gerPE*, *gerT*, and *yhcN* coat genes are positively selected. Considering the important roles in coat assembly, structure, and germination of these spore coat proteins, it is not surprising they provide significant survival advantages to the individuals carrying them (Butzin et al., 2012; Driks & Eichenberger, 2016; Ferguson, Camp, & Losick, 2007; Galperin, 2013; Galperin et al., 2012; Johnson & Moir, 2017; McKenney & Eichen-

berger, 2012; McPherson et al., 2005; Monroe & Setlow, 2006; Ozin et al., 2000; Ragkousi & Setlow, 2004; Zilhão et al., 1999).

Nevertheless, in the Coagulans, Megaterium, and Methanolicus groups the morphogenetic coat genes *cotE*, *spoVM*, and *spoIVA* seem to be under purifying selection along with genes that encode for the proteins GerPE, CotJA and CotJB that are dependent on the latter. It has been previously hypothesized that adaptation of spore-forming species to nutrient-rich niches may lead to the loss of their ability to form spores (Galperin, 2013). Consequently, we suggest a possible explanation for this unexpected result. Bacterial specimens of the aforementioned groups have been extensively used for industrial processes (Carnicer et al., 2016; Jebeli et al., 2017; Mazzoli et al., 2019; Saw et al., 2019; Wagle et al., 2019), which led them to a shift into a new nutrient-rich niche. Thus, spore formation is not advantageous in the new niche and genes associated with this process are favored by a purifying negative selection. However, more studies must confirm this explanation since the genomes used in this study do not represent the entire diversity of a group.

YheC and YheD are positively selected spore coat proteins that have an ATP binding domain and are controlled by the same operon (Driks & Eichenberger, 2016). YheD is located in the basement layer of the spore coat and is dependent on SpoIVA, whereas the localization of YheC is not determined (Driks & Eichenberger, 2016; Ooij, Eichenberger, & Losick, 2004). During the initial stages of sporulation, YheD forms two rings that encircle the forespore (Ooij et al., 2004). In the later stages of sporulation, the two rings disappear, and YheD is redistributed around the basement layer of the forespore (Driks & Eichenberger, 2016; Ooij et al., 2004). Hence, these spore coat proteins are important for the initial stages of sporulation in the *Cereus* and *Subtilis* groups.

YutH and YsxE are bacterial spore kinase proteins located in the inner layer and are SpoIVA and SafA dependent (Driks & Eichenberger, 2016; Ooij et al., 2004; Scheeff et al., 2010). YutH and YsxE provide protection against lysozyme, hypochlorite, and predation to the spore (Scheeff et al., 2010). Thus, these bacterial spore kinases are evolutionary important for the survival of the spore in different environments (Scheeff et al., 2010). Our selection pressure analyses revealed that these spore coat proteins are positively selected in specific sites. These

sites may be highly conserved motifs associated with likely enzymatic activity (Scheeff et al., 2010). In addition, positively selected sites in the coat genes analyzed may exert an important function in the final protein product as interaction/binding partners. However, more studies are needed to confirm this hypothesis.

According to our HGT results, the majority of spore coat proteins analyzed here are not subjected to HGT events. This supports the idea that the ability to form spores in *Firmicutes* (*Bacilli* and *Clostridia*) is an ancestral feature (Galperin, 2013; Onyenwoke et al., 2004; Ramos-Silva, Serrano, & Henriques, 2019). Nevertheless, it is important to highlight that some coat genes in different *Bacillus* groups have been subjected to HGT events at least once during its evolutionary history. This is further confirmed by the presence of IS sequences in genomes of the recipient species. Moreover, these HGT events occur more frequently at the tips of the reconciled gene-species phylogenetic trees, demonstrating a recent event. This is notably true for the morphogenetic coat proteins CotE and SpoIVA in the *Cereus* group. These are the only morphogenetic coat proteins under HGT. The remaining spore coat genes under HGT in different *Bacillus* groups have an overlapping function with other coat proteins already present in the recipient genome. This contributes to the idea of sporulation as an ancestral character in *Firmicutes*.

In addition, the spore coat genes transferred by HGT are positively selected and confer an advantage in the sporulation and germination processes for the recipient species (Butzin et al., 2012; Driks & Eichenberger, 2016; Ferguson et al., 2007; Galperin, 2013; Galperin et al., 2012; Johnson & Moir, 2017; McKenney & Eichenberger, 2012; McPherson et al., 2005; Monroe & Setlow, 2006; Ozin et al., 2000; Ragkousi & Setlow, 2004; Zilhão et al., 1999), which may lead to a fixation of these genes in the genome (Soucy et al., 2015). Bacterial species that contain spore coat genes under HGT events may reflect a complex evolutionary history adapted to lineage-specific environmental conditions (Galperin et al., 2012; Ramos-Silva et al., 2019). This idea must be further explored by future studies on the evolutionary dynamics of these species.

## CHAPTER 5. CONCLUSIONS

The presence of spores in cultures confirms the bacterial organism as a spore-former species. However, the absence of visible spores in a culture is not sufficient to preclude the possibility of spore formation under different culture conditions (Galperin, 2013). Our presence/absence results may provide a novel method to classify a bacterial species as a spore-former or asporogeneous. Moreover, our results suggest that there is a well-conserved genomic signature of spore coat proteins, composed mainly of morphogenetic coat proteins. This genomic signature reflects overlapping functions and a spore coat protein interaction network that is highly adapted to unique environmental conditions Driks and Eichenberger (2016); Galperin et al. (2012); Ramos-Silva et al. (2019); J. Zhang et al. (1993).

Spore coat genes that are positively selected provide important roles in coat assembly, structure, and germination (Butzin et al., 2012; Driks & Eichenberger, 2016; Ferguson et al., 2007; Galperin, 2013; Galperin et al., 2012; Johnson & Moir, 2017; McKenney & Eichenberger, 2012; McPherson et al., 2005; Monroe & Setlow, 2006; Ozin et al., 2000; Ragkousi & Setlow, 2004; Zilhão et al., 1999). Thus, providing significant survival advantages to the individuals carrying them. In addition, sites of coat genes that are positively selected may be highly conserved motifs associated with likely enzymatic activity (Scheeff et al., 2010) or may exert an important function in the final protein product as interaction/binding partners. Coat genes, such as *cotE*, *spoVM*, *spoIVA* *gerPE*, *cotJA*, and *cotJB* seem to be under purifying selection in the Coagulans, Megaterium, and Methanolicus groups. This could reflect a shift into a new nutrient-rich niche, which may no longer favor genes associated with sporulation. It is important to mention that the genomes analyzed in this work do not reflect the diversity of the species or group.

The majority of spore coat proteins analyzed here are not subjected to HGT events. Thus, supporting the idea of sporulation as an ancestral feature in *Firmicutes* (Galperin, 2013; Onyenwoke et al., 2004; Ramos-Silva et al., 2019). Exceptions are the morphogenetic coat

proteins CotE and SpoIVA in the *Cereus* group. Since these morphogenetic coat proteins are positively selected and confer an advantage in the sporulation and germination processes (Butzin et al., 2012; Driks & Eichenberger, 2016; Ferguson et al., 2007; Galperin, 2013; Galperin et al., 2012; Johnson & Moir, 2017; McKenney & Eichenberger, 2012; McPherson et al., 2005; Monroe & Setlow, 2006; Ozin et al., 2000; Ragkousi & Setlow, 2004; Zilhão et al., 1999), they are likely to be fixated in the recipient species (Soucy et al., 2015). These HGT events may reflect a complex evolutionary history.



## CHAPTER 6. RECOMMENDATIONS AND FUTURE WORK

Protein coevolution analyses may help to explain why there are positively selected sites on some spore coat genes. Moreover, increasing the number of genomes for the Coagulans, Megaterium, and Methanolicus group may provide a better insight on the selection pressures acting upon the coat genes *cotE*, *spoVM*, *spoIVA*, *gerPE*, *cotJA*, *cotJB*.

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